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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
18/445,642	12/04/2023	Richard R. Wescott		5298

7590 01/16/2025  
Richard R. Wescott  
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EXAMINER
REID JR, CHARLES H

ART UNIT	PAPER NUMBER
2834	

MAIL DATE	DELIVERY MODE
01/16/2025	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

1

**DETAILED ACTION**

2

***Notice of Pre-AIA or AIA Status***

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The present application, filed on or after March 16, 2013, is being examined under the first inventor to file provisions of the AIA.

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In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis (i.e., changing from AIA to pre-AIA) for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

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***NOTICE TO APPLICANT***

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It appears the inventor(s) filed the current application pro se (i.e., without the benefit of representation by a registered patent practitioner). While inventors named as applicants in a patent application may prosecute the application pro se, lack of familiarity with patent examination practice and procedure may result in missed opportunities in obtaining optimal protection for the invention disclosed. The inventor(s) may wish to secure the services of a registered patent practitioner to prosecute the application, because the value of a patent is largely dependent upon skilled preparation and prosecution. The Office cannot aid in selecting a patent practitioner.

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A listing of registered patent practitioners is available at <https://oedci.uspto.gov/OEDCI/>. Applicants may also obtain a list of registered patent practitioners located in their area by writing to Mail Stop OED, Director of the U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450.

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***Information Disclosure Statement***

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The information disclosure statement filed December 4, 2023 fails to comply with the provisions of 37 CFR 1.97, 1.98 and MPEP § 609 because it does not list any references. It has been placed in the application file, but the information referred to or lack thereof therein has not been considered as to the merits. Applicant is advised that the date of any re-submission of any item of information contained in this information disclosure statement or the submission of any missing element(s) will be the date of submission for purposes of determining compliance with the requirements based on the time of filing the statement, including all certification requirements for statements under 37 CFR 1.97(e). See MPEP § 609.05(a).

32

***Drawings***

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**Note to Applicant:** Under 37 CFR 1.83(a) the drawings must show every feature of the invention specified in the claims. Due to the current claims being non-compliant (see ***Claim Rejections - 35 USC § 112*** below) and therefore no drawing objections are raised in this office action. However, upon correction of the claims be advised that specific limitations recited in the claims such as bearings, belts, pulleys, and driveshafts, for example, must be shown with reference characters or the feature(s) canceled from the claim(s). No new matter should be entered.

40

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate



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45 figure must be removed from the replacement sheet, and where necessary, the remaining  
46 figures must be renumbered and appropriate changes made to the brief description of the  
47 several views of the drawings for consistency. Additional replacement sheets may be necessary  
48 to show the renumbering of the remaining figures. Each drawing sheet submitted after the  
49 filing date of an application must be labeled in the top margin as either "Replacement Sheet" or  
50 "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner, the  
51 applicant will be notified and informed of any required corrective action in the next Office  
52 action. The objection to the drawings will not be held in abeyance.

53 ***Abstract***

54 Applicant is reminded of the proper language and format for an abstract of the  
55 disclosure.

56 The abstract should be in narrative form and generally limited to a single paragraph on a  
57 separate sheet within the range of 50 to 150 words in length. The abstract should describe the  
58 disclosure sufficiently to assist readers in deciding whether there is a need for consulting the  
59 full patent text for details.

60 The language should be clear and concise and should not repeat information given in  
61 the title. It should avoid using phrases which can be implied, such as, "The disclosure concerns,"  
62 "The disclosure defined by this invention," "The disclosure describes," etc. In addition, the  
63 form and legal phraseology often used in patent claims, such as "means" and "said," should be  
64 avoided.

65 The abstract of the disclosure is objected to because the abstract contains the form and  
66 legal phraseology "comprising" and "said" and phrases "The claims discussed hereinbefore"

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67 and "The present invention reveals." A corrected abstract of the disclosure is required and  
68 must be presented on a separate sheet, apart from any other text. See MPEP § 608.01(b).

69 ***Specification***

70 The disclosure is objected to because of the following informalities:

- 71 • Paragraph 0005, "as well as in in the marine industry" **should be changed to** "as  
72 well as in [[in]] the marine industry"
- 73 • Paragraph 0007, "There are three types of hydraulic if motors that may be  
74 used" appears to be a grammatical error.
- 75 • Paragraph 0013, "We're the inventor to attach" **should be changed to** "Were  
76 the inventor to attach"
- 77 • Paragraph 0018, "work in this particular. Application after discovering" **should**  
78 **be changed to** "work in this particular application after discovering"
- 79 • Paragraph 0118, "Claim 1" references to the claims should be deleted.
- 80 • Paragraph 0127, "to reveal the though process of the inventor" **should be**  
81 **changed to** "to reveal the thought process of the inventor"

82 Appropriate correction is required.

83 ***Claim Objections***

84 The numbering of claims is not in accordance with 37 CFR 1.126 which requires the  
85 original numbering of the claims to be preserved throughout the prosecution. When claims are  
86 canceled, the remaining claims must not be renumbered. When new claims are presented,  
87 they must be numbered consecutively beginning with the number next following the highest  
88 numbered claims previously presented (whether entered or not).

89 Misnumbered claims 21 and 22 have been renumbered 20 and 21 respectively.

90 ***Claim Rejections - 35 USC § 112***

91 The following is a quotation of 35 U.S.C. 112(b):  
92 (b) CONCLUSION.—The specification shall conclude with one or more claims particularly pointing out  
93 and distinctly claiming the subject matter which the inventor or a joint inventor regards as the  
94 invention.

95  
96  
97 The following is a quotation of 35 U.S.C. 112 (pre-AIA), second paragraph:  
98 The specification shall conclude with one or more claims particularly pointing out and distinctly  
99 claiming the subject matter which the applicant regards as his invention.

100  
101  
102 **Claims 1-19 and 21-22** are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA),  
103 second paragraph, as being indefinite for failing to particularly point out and distinctly claim the  
104 subject matter which the inventor or a joint inventor (or for applications subject to pre-AIA  
105 35 U.S.C. 112, the applicant), regards as the invention.

106 **Claims 1-19 and 21-22** are rejected as failing to define the invention in the manner  
107 required by 35 U.S.C. 112(b) or pre-AIA 35 U.S.C. 112, second paragraph.

108 The claim(s) are narrative in form and replete with indefinite language. The structure  
109 which goes to make up the device must be clearly and positively specified. The structure must  
110 be organized and correlated in such a manner as to present a complete operative device. The  
111 claim(s) must be in one sentence form only. Note the format of the claims in the patent(s)  
112 cited.

113 **For examining purposes the Examiner is interpreting the claims, in light of the**  
114 **specification and as best understood, to be an initial power source to start a motor that**  
115 **drives a generator via a shaft comprising a flywheel that rotates up to predetermined RPM to**  
116 **continue driving the generator. The output of the generator is sent to an electrical panel that**



117 distributes out the generated electricity back to the motor and other loads. The initial input  
118 source is disconnected from the motor and the motor is then further powered by the  
119 generator.

120 Claims 1-19 and 21-22 are rejected under 35 U.S.C. 112(b) or 35 U.S.C. 112 (pre-AIA),  
121 second paragraph, as being incomplete for omitting essential elements, such omission  
122 amounting to a gap between the elements. See MPEP § 2172.01. The omitted elements are:  
123 AC and/or DC Power Supply.

124 Claims 1 and 2 recite a means of generating electricity using a process that does not rely  
125 on any known fuel sources and/or alternative energy sources. The claims then proceeds to  
126 discuss how the Trident Independent Energy System is capable of generating predetermined  
127 loads of electricity but never recites how the system or generator is able to start. However,  
128 paragraph 0132 and figures 2-6 disclose an AC and DC power supply to provide power to start  
129 the system. Omitting this essential element results in a lack of an initial source of power to  
130 start the motor rendering the invention inoperative.

131 Dependent claims 3-19 and 21-22 are rejected, as they inherit the deficiency of the  
132 independent claims.

133 ***Claim Rejections - 35 USC § 102***

134 The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form  
135 the basis for the rejections under this section made in this Office action:

136 A person shall be entitled to a patent unless –

137  
138 (a)(1) the claimed invention was patented, described in a printed publication, or in public use, on sale,  
139 or otherwise available to the public before the effective filing date of the claimed invention.  
140  
141

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142 (a)(2) the claimed invention was described in a patent issued under section 151, or in an application  
143 for patent published or deemed published under section 122(b), in which the patent or application, as  
144 the case may be, names another inventor and was effectively filed before the effective filing date of  
145 the claimed invention.  
146

147 **Claims 1 and 3-12** are rejected under 35 U.S.C. 102(a)(1)/(a)(2) as being anticipated by  
148 Chaang (US 2019/0229578).

149 **NOTE: Please see above 35 UC 112(b) for Examiner's best interpretation of the claims.**

150 **Regarding claim 1**, Chaang discloses an initial power source to start a motor (Para.  
151 0018) that drives a generator (702, 706 of Figure 1) via a shaft (104 of Figure 1) and a flywheel  
152 (102, 306 of Figure 1) that rotates up to predetermined RPM to continue driving the generator.

153 **Regarding claim 3**, Chaang discloses an energy system capable of generating AC and/or  
154 DC (via 702, 706 of Figure 1).

155 **Regarding claim 4**, Chaang discloses using various gears, shafts, pulleys, belts (see Figure  
156 1; one-way bearings (310), belts (704, 708)).

157 **Regarding claim 5**, Chaang discloses a generator capable of generating a rated capacity  
158 of the generator (702, 706 of Figure 1).

159 **Regarding claim 6**, Chaang discloses scaling the system (Para. 0042).

160 **Regarding claim 7**, Chaang discloses a system securely and functionally assembled (see  
161 Figure 1).

162 **Regarding claim 8**, Chaang discloses the system can be non-stationary (Para. 0009-0011,  
163 0042).

164 **Regarding claim 9**, Chaang discloses the system includes a second flywheel (102, 306 of  
165 Figure 1).





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192 the hydraulic pump, as taught by Aldendeshe, due to hydraulic motors ability to deliver high  
193 torque at low speeds.

194 **Regarding claim 13**, Chaang discloses an energy system capable of generating AC and/or  
195 DC (via 702, 706 of Figure 1).

196 **Regarding claim 14**, Chaang discloses using various gears, shafts, pulleys, belts (see  
197 Figure 1; one-way bearings (310), belts (704, 708)).

198 **Regarding claim 15**, Chaang discloses the system includes electrical components  
199 connected by electrical wire (inherent).

200 **Regarding claim 16**, Chaang discloses scaling the system (Para. 0042).

201 **Regarding claim 17**, Chaang discloses a system securely and functionally assembled (see  
202 Figure 1).

203 **Regarding claim 18**, Chaang discloses the system can be non-stationary (Para. 0009-  
204 0011, 0042).

205 **Regarding claim 19**, Chaang discloses the system includes a second flywheel (102, 306  
206 of Figure 1).

207 **Regarding claim 20**, Chaang discloses the flywheel can be replaced with another  
208 flywheel configuration (Para. 0042).

209 **Regarding claim 21**, Chaang discloses the motor can be replaced with another type of  
210 motor (Para. 0042).

211 **Prior Art**

212 The prior art made of record and not relied upon is considered pertinent to applicant's  
213 disclosure.

214 Camm (US 2004/0056546) discloses a powered flywheel rotor motor.

215 Wilson (CA 2178349 C) discloses means to initiate and maintain a rotor or flywheel at  
216 substantially constant speed.

217 ***Conclusion***

218 Any inquiry concerning this communication or earlier communications from the  
219 examiner should be directed to CHARLES H REID whose telephone number is (571)272-9248.

220 The examiner can normally be reached M-F 9:30-4:45 PM.

221 Examiner interviews are available via telephone, in-person, and video conferencing  
222 using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is  
223 encouraged to use the USPTO Automated Interview Request (AIR) at  
224 <http://www.uspto.gov/interviewpractice>.

225 If attempts to reach the examiner by telephone are unsuccessful, the examiner's  
226 supervisor, Tulsidas Patel can be reached on 571-272-2098. The fax phone number for the  
227 organization where this application or proceeding is assigned is 571-273-8300.

228 Information regarding the status of published or unpublished applications may be  
229 obtained from Patent Center. Unpublished application information in Patent Center is available  
230 to registered users. To file and manage patent submissions in Patent Center, visit:  
231 <https://patentcenter.uspto.gov>. Visit <https://www.uspto.gov/patents/apply/patent-center> for  
232 more information about Patent Center and <https://www.uspto.gov/patents/docx> for  
233 information about filing in DOCX format. For additional questions, contact the Electronic  
234 Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO  
235 Customer Service Representative, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



# **Notice of References Cited**

Application/Control No.  
18/445,642

Applicant(s)/Patent Under  
Reexamination  
Wescott, Richard R.

Examiner  
CHARLES H REID

Art Unit  
2834

Page 1 of 1

## **U.S. PATENT DOCUMENTS**

* A	Document Number Country Code-Number-Kind Code	Date YYYY-MM-DD	Name	CPC Classification	US Classification
A	US-20040056546-A1	2004-03-25	Camm, Gary L.	H02K53/00	310/90.5
B	US-20190229578-A1	2019-07-25	CHAANG; TUCK LOONG	F03G7/115	1/1
C	US-20200361370-A1	2020-11-19	WESCOTT; Richard Robert	B60Q5/006	1/1
D					
E					
F					
G					
H					
I					
J					
K					
L					
M					

## **FOREIGN PATENT DOCUMENTS**

* A	Document Number Country Code-Number-Kind Code	Date YYYY-MM-DD	Country	Name	CPC Classification
N	WO-0143258-A2	2001-06-14	WO	ALDENDESHE M K M	H02K53/00
O	CA-2178349-C	2007-07-31	CA	WILSON S E G	G01C19/065
P					
Q					
R					
S					
T					

## **NON-PATENT DOCUMENTS**

* A	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
U	
V	
W	
X	

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(e).)  
Dates in YYYY-MM-DD format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office  
TO-892 (Rev. 11-2023)

Notice of References Cited

Part of Paper No. 20250206

The United States Patent and Trademark Office

In re Application of: Richard Robert Wescott

Application No. 18/445,642

Art Unit: 2834

Examiner: Charles H. Reed

Title: Trident Independent Energy Systems

Richard Robert Wescott

FORMAL INTRODUCTION

Although all previously submitted claims have been withdrawn in their entirety and replaced with a new set of claims, the legal and technical arguments set forth in this rebuttal remain highly relevant. The examiner's rejection relied heavily on flawed statutory classification, improper reliance on component-based prior art, and mischaracterization of the invention's scope. Specifically, the examiner cited references by Chang, Camm, Wilson, and Aldendeshe in rejecting the original claims—with Chang alone forming the basis for rejecting the majority of the claim set. Even where prior claim language may have been imperfectly framed, the underlying process was clearly and explicitly disclosed—as confirmed even in the original abstract, which unambiguously described the invention as a process. This rebuttal is not submitted as a defense of the original claims, but rather as a correction of the examiner's analytical framework and as a foundation for understanding the newly submitted claims, which are now properly framed and fully compliant. The substance of the invention has not changed, nor has its statutory class. The Applicant submits that this rebuttal is essential to clarifying the record, correcting mischaracterizations, and supporting the allowance of the claims now presented.

The Applicant further acknowledges that the original abstract may not have been framed with ideal clarity, but note that it did explicitly identify the invention as a process. Any perceived ambiguity has been addressed in this filing.. the statutory class has remained unchanged from the outset.

The purpose of this document is to formally introduce the foundational legal and technical distinctions that define the claimed invention—Trident Independent Energy Systems—as a process under 35 U.S.C. § 101. The applicant brings to the Patent Office's attention the

procedural errors, categorical misinterpretations, and substantive oversights that have distorted the examination. From the outset, the invention was filed and described as a torque-generating method, measured in foot-pounds and designed to neutralize mechanical resistance on a rotating shaft. It is not a device, not a component, and not a flywheel energy storage system. The applicant now proceeds with detailed rebuttals and formal prosecution to correct the mischaracterization and restore the application to its rightful legal standing. What follows is not only a technical clarification but a demand for statutory compliance under the governing framework of U.S. patent law.

## II. OVERVIEW

The following rebuttal systematically dismantles each cited reference, establishing that the Applicant's claimed process is novel, non-obvious, and fully enabled under 35 U.S.C. §§ 101, 102, 103, and 112. Each section identifies the examiner's errors in comparing irrelevant structures to the claimed process, clarifies the substantial differences in functionality and scalability, and holds the examination record to the appropriate legal standards required by the USPTO and prevailing case law. This document leaves no ambiguity regarding the patentability of the claimed invention. The Applicant lawfully references co-pending U.S. patent application No. 18/766,445 (Wescott Torque Wheel) to illustrate exemplary components adaptable to the process (per 37 CFR § 1.57(b) and MPEP § 201.06(c)). Such a comparison creates a fundamental misunderstanding of the Applicant's invention and obligates the Applicant to provide further technical clarification under MPEP § 2111, § 2143, and 37 CFR § 1.104(c)(2). Specifically, Co-pending U.S. Patent Application No. 18/766,445 is hereby incorporated by reference in its entirety for all supporting structure, context, and educational illustration under 37 C.F.R. §

1.57(g). No new matter is introduced in this response; all supporting references were present in co-pending applications or serve only for educational clarification per § 608.04.

The cited prior art references improperly compare mechanical components to process claims, violating proper statutory class justification under 35 U.S.C. § 101 and § 103.

-None of the cited references anticipates or renders obvious the claimed invention, which relates to a process for continuous torque generation.

-The references fail the enablement standard under 35 U.S.C. § 112 as they do not teach a



person of ordinary skill in the art (POSITA) how to replicate the Applicant's invention without undue experimentation.

-The Applicant's process is lawfully grounded in classical physics principles and demonstrates clear novelty, non-obviousness, and operability. For the reasons set forth below, Applicant requests that the Office Action rejections be withdrawn and that the application proceed toward allowance.

### III. EXAMINER ACKNOWLEDGED PROCESS YET MISCHARACTERIZED IT

The applicant submits that the examiner's treatment of the invention directly contradicts the information disclosed in both the original abstract and the initial claims. The abstract, filed pursuant to 37 C.F.R. § 1.72(b), explicitly defines the invention as a process—clarifying that the claimed subject matter is not an apparatus or system, but a method of generating directional torque to neutralize shaft resistance. This definition was not hidden or ambiguous; it was placed in the first summary the examiner reviewed.

The claims originally submitted, while not drafted with final precision, similarly defined the invention as a process under 35 U.S.C. § 101. They clearly referenced torque generation, mechanical leverage, and resistance neutralization through a sequence of engineered steps. There was no reference to a mechanical component, or a passive energy storage system such as a traditional flywheel. Despite this, the examiner treated the invention as a structural device and proceeded to apply component-based prior art, including mechanical flywheels and energy storage systems, in direct contradiction to the defined subject matter. This constitutes a mischaracterization of the invention's statutory class and violates the USPTO's examination standards as set forth in MPEP § 2111 (claim interpretation) and § 2143 (rejection formulation).

### IV. EXAMINER RESPONSE FUNDAMENTALLY MISINTERPRETS THE CLAIMED INVENTION

Patent Applicant Discloses, In Brief: The invention at the heart of this application is not a component, not a configuration of parts, and not a passive storage system. It is a process — a torque-generating method measured in foot-pounds that actively and deliberately neutralizes

resistance on a rotating shaft.

That process is repeatable, scalable, and capable of driving any shaft-based component regardless of load. Yet the examiner's response fails to recognize — or even engage with — that central premise

Instead, the rejections presented rely on superficial component matching, false mechanical equivalencies, and conflated terminology. The examiner's actions suggest either a failure to review the application materials fully or a disregard for the applicant's stated claim category. This misalignment undercuts the foundation of the rejection and justifies the applicant's position that the examination process, as applied, was both procedurally and substantively defective. For the obvious misinterpretation of the examiner in reviewing the patent application, a full-fledged prosecution of the Office Action rejections now follows. This includes formal responses to mischaracterizations involving Chaang, Wilson, Camm, and Aldendeshe.

As a prelude, the following statements from the Office Action illustrate the extent to which the examiner's analysis diverges from the actual disclosures: "Chaang discloses an initial power source to start a motor... and a flywheel... that rotates up to predetermined RPM to continue driving the generator." "Wilson discloses means to initiate and maintain a rotor flywheel at substantial constant speed." "Camm discloses a rotating mass that maintains its momentum and assists in driving a generator." "It would have been obvious to one of ordinary skill in the art before effective filing of the claimed invention to have the motor of Chaang replaced with the hydraulic motor... as taught by Aldendeshe..." These are not technical rebuttals. They are assumptions, each one attempting to equate this process with passive motion, flywheel storage, or basic motor substitutions. None of the cited references disclose a method for directional torque generation measured in foot-pounds or a mechanism for resistance neutralization. This misinterpretation undermines the entire rejection.

The examiner's errors are not minor oversights—they represent fundamental failures in statutory classification, mechanical comprehension, and procedural examination. When a rejection is built upon an inaccurate understanding of the invention's core identity, the validity of all dependent conclusions collapses.

The Office Action must be revisited under proper statutory interpretation and with full recognition of the claimed process as disclosed. The record now requires correction. What

follows is a structured prosecution of each cited reference and its failure to anticipate or render obvious the claimed invention.

#### V. EXAMINER'S STATEMENT (VERBATIM FROM LINE 113)

"For examining purposes, the Examiner is interpreting the claims, in light of the specification and as best understood, to be an initial power source to start a motor that drives a generator via a shaft comprising a flywheel that rotates up to predetermined RPM to continue driving the generator. The output of the generator is sent to an electrical panel that distributes out the generated electricity back to the motor and other loads. The initial input source is disconnected from the motor and the motor is then further powered by the generator."

The above statement represents the examiner's core interpretation of the claimed invention. As this interpretation forms the foundation of the Office Action's rejections, it is necessary to dismantle it with analytical precision. Each section below identifies a discrete flaw in logic, law, or mechanical understanding, followed by the legal and technical implications of that flaw.

#### VI. ANALYTICAL BREAKDOWN OF ERRORS AND THEIR CONSEQUENCES

##### Mischaracterization as a Startup System

The examiner begins by framing the invention as 'an initial power source to start a motor,' miscasting the claimed process as a transient startup mechanism. This contradicts the actual invention, which begins only after motion has initiated and focuses on torque generation to neutralize resistance.

##### Insertion of an Unclaimed Limitation

No startup mechanism is disclosed or claimed in the specification. The deliberate omission was to preserve broad adaptability. Inserting a startup step introduces an artificial constraint, violating MPEP § 2111 and judicial precedent set in *Phillips v. AWH Corp.*

##### Contradiction of Procedural Duty to Examine Specification

The examiner claims to act 'in light of the specification,' yet failed to notice clear structural anomalies: a duplicated paragraph and a skipped three paragraph numbering errors in his specification review. These oversights make it evident the specification was not fully or



carefully read and/or understood, violating MPEP § 904.02 and 37 CFR § 1.104(c)(2). Examiner Failed to Acknowledge Statutory Process Indicators Repeated Throughout the Record The rejection of the Trident Independent Energy System under prior art disclosures addressing mechanical flywheels, motors, or other physical components reflects a serious procedural and legal error. From the outset, the Trident filing was constructed, described, and classified as a process under 35 U.S.C. § 101. To eliminate ambiguity, the Applicant employed the word “process” exactly eighty-four (84) times throughout the specification. Additionally, the phrase “foot-pounds of torque” appears six (6) times, followed by an explicit statement that it would “hereinafter be referred to as FPT,” after which the abbreviation “FPT” is used twenty-six (26) times. The phrase “foot-pounds of resistance” appears five (5) times, and the verb “neutralize” appears three (3) times, always in reference to resistance on a shaft. These metrics were not filler—they were deliberate markers designed to define the invention as an active, torque-generating process and to make its statutory identity unmissable. Despite this, the examiner wrote: “For examining purposes of the examiner in interpreting the claims, in light of the specification and at best understood, to be an initial power source to start a motor that drives a generator via a shaft, comprising a flywheel that rotates up to predetermined RPM to continue driving the generator. The output of the generator is sent to an electrical panel that distributes out the generated electricity back to the motor and other loads. The initial input source is disconnected from the motor and the motor is then powered by the generator.” This interpretation is not merely incorrect—it is legally incompatible with the disclosures in the specification, which repeatedly emphasize the invention’s identity as a process and contain no claim language directed to any component or device.

The Applicant also grounded the application in constitutional precedent, citing Anderson’s–Black Rock, Inc. v. Pavement Salvage Co., 396 U.S. 57 (1969) in paragraph [0027] of the Trident specification: The Applicant would hold that the processes taught in the forthcoming descriptions and claims, in the spirit of the United States Supreme Court holding in Anderson’s–Black Rock... constitute the type of innovation, advancement, and mechanical redirection intended to be protected under U.S. patent law. And as the Court held: “Innovations, advancement, and things which add to the sum of useful knowledge are inherent requisites in a patent system which, by constitutional command, must ‘promote the

progress of useful arts.’ This is the standard expressed in the Constitution and it may not be ignored.” (396 U.S. at 60–61). The transition sentence, having compiled the information disclosed herein before, the Applicant will now discuss the TIES process, appears in the record explicitly—marking the point at which the functional method is laid out. Taken together with the data above, these disclosures were more than adequate to demonstrate the statutory nature of the invention. The examiner’s failure to account for them represents a direct violation of MPEP § 707.07(d) and § 1207, both of which require proper review of the full specification. This was not a misunderstanding—it was a procedural breakdown that renders the rejection unsupportable.

## VII. INTENTIONAL USE OF “EXEMPLARY” AS LEGALLY CONTROLLING LANGUAGE

From the very beginning of the application, the applicant explicitly invoked his right to serve as his own lexicographer, as upheld in *Phillips v. AWH Corp.*, 415 F.3d 1303 (Fed. Cir. 2005) and codified in MPEP § 2111.01. Every term in the specification—particularly those involving structural components—was deliberately framed under a singular legal strategy: to define the invention as a process, not as a device, and to preemptively disclaim any limiting interpretation of its illustrative elements. The applicant structured the filing with absolute clarity and legal foresight. This was not an assembly of parts or a mechanical apparatus—it was a scalable, adaptable torque-generating process. To enforce that understanding, the term “exemplary” was used intentionally and repeatedly, not as a figure of speech, but as a legal mechanism to prevent any examiner from mischaracterizing the filing under 35 U.S.C. § 102, § 103, or § 112. The Applicant may consider adding similar citations relevant to the prosecution of his patent application.

The following summary makes the applicant’s intent unmistakable:

- “AC Generator” is used approximately 60 times, with 19 explicit instances qualifying it as exemplary.
- “AC Motor” is used approximately 79 times, with 21 separate declarations that it is exemplary only.
- “Modified Flywheel” appears approximately 138 times, with 32 specific uses labeling it as exemplary.

- “Hydraulic Motor” and hydraulic power unit and three types of hydraulic motors appear approximately 120 times throughout the specification, with approximately 17 explicit declarations that such systems are exemplary only. The remainder are clearly used within the same contextual framework of non-limiting illustration. Nowhere are these systems described as required, exclusive, or structurally binding. Each mention reinforces the adaptable nature of the claimed process, not a fixed hydraulic assembly. And most critically: Not once in the entire specification—and the applicant repeats, not once—was any component, subsystem, or figure described as required, mandatory, or defining of the invention. This is reinforced by Paragraph [0070], which states: “The embodiment(s) described herein are exemplary only and not necessarily to be construed as advantageous... The process described herein may be embodied in other forms, and does not require that all embodiments include the disclosed features.” The language of the application was engineered to comply fully with MPEP §§ 2111.01–2111.03, and to withstand scrutiny under the controlling precedents of *Phillips*, *In re Paulsen*, and *Schulhauser*.

Yet despite this precision, the examiner asserts: “It would have been obvious for one of ordinary skill in the art before effective filing of the claimed invention to have the motor of Chaang replaced with the hydraulic motor as taught by [Aldendeshe].” This statement reflects a categorical misunderstanding of the applicant’s claim. It suggests that the invention depends on a specific motor architecture—when in fact, every motor ever mentioned was explicitly defined as exemplary. It further implies that this invention could be reduced to a substitution of mechanical parts—when the filing describes a process, not a machine. The examiner also appears to imply—through both tone and structure—that the applicant should have known better than to file this application. That implication stands in direct conflict with the statutory framework governing novelty and non-obviousness: 35 U.S.C. § 102: “A person shall be entitled to a patent unless—(1) the claimed invention was patented, described in a printed publication, or in public use, on sale, or otherwise available to the public before the effective filing date of the claimed invention...” 35 U.S.C. § 103: “Patentability shall not be negated by the manner in which the invention was made.” The applicant’s process was neither previously disclosed nor obvious. It was deliberately defined as a functional torque-generating method capable of integration with any shaft-driven system. The exemplary references serve to educate—not to restrict. There is no legal, mechanical, or semantic basis

upon which to impose structural limitations on what was filed and repeatedly clarified as a process. Accordingly, the applicant submits:

- That the use of the term “exemplary” was not rhetorical but legally binding;— That no component was ever defined as required or exclusive;
- That the specification reflects full compliance with the Federal Circuit’s standards for definitional clarity and scope;
- And that the examiner’s reasoning stands in direct conflict with the plain language of the application and the governing statutes under § 102 and § 103. The applicant concludes by stating unequivocally: This invention was—and always has been—a process. Every motor, generator, flywheel, or hydraulic reference is demonstrably exemplary. Not one limitation was imposed.

#### VIII. EXCERPTED SPECIFICATION SECTIONS DEFINING THE CLAIMED PROCESS

The following paragraphs are excerpted directly from the original patent specification to underscore the Applicant’s clear and repeated definition of the claimed invention as a process not as a device, not as a flywheel system, and not as an energy storage component. These disclosures were deliberately written to educate both the reviewer and the public about the foundational physics of mechanical resistance and torque generation. The examiner’s failure to account for these explicit statements constitutes a procedural oversight that undermines the integrity of the Office Action.

[0012] It will be the intention of the Applicant to facilitate a clear and non-ambiguous understanding of all aspects of the present invention. Specifically, the Applicant provides the following hypothetical explanatory and exemplary scenario in order to assist in the facilitation of highlighting the crux of the present invention.

[0014] The first part of the solution is understanding the basics of overcoming mechanical resistance.

[0056] The crux of the present invention is the revival and modification of the antiquated flywheel. Although flywheels are still currently used, the older style flywheels used for such equipment as punch machines, presses, metal shears and the like have mostly faded away by time and technology.

[0057] These older style flywheels, usually made with cast iron, may not be able to safely

function as necessary for the present invention. Flywheels for the present invention must be of sufficient strength to withstand the constant centrifugal forces that will be placed upon them by high RPM. The Applicant discovered excessive centrifugal forces on a flywheel not designed and engineered for such a purpose may rupture or burst. The Applicant has read articles of such incidents that have resulted in deadly consequences to others.

-[0058] Flywheels of the past, generally, have been used to store kinetic energy that is used for sudden fluctuations of power needed by the device being driven. Some have referred to the flywheel as a mechanical battery or likened to an accumulator in a hydraulic system.

-[0059] The present invention calls for a specifically designed and weighted engineered configuration used as a constant source of torque, applied to a load by a lever. Once the modified flywheel begins rotating, the centrifugal forces associated with the rapid rotation urges the weight outwardly to the weighted outer circumference of the modified flywheel. The inertia of the modified flywheel contributes to preserve the uniformity and speed of the device being driven. Once a modified flywheel has reached the moment of inertia and is at a speed of approximately 1800 RPM, a continuous duty minimal horsepower AC motor may be able to maintain the centrifugal force in inertia for the conjoint purpose of maintaining the speed of the shaft of the device being driven.

-[0060] Wind power has been described as "a process by which winds kinetic energy is converted into electricity by the use of wind turbines... the wind turns the blades which spin a shaft that connects to a generator to generate electricity," Benson County Wind Farm [9] LLC., v. Duke Energy Ind., LEXIS 181635. It is the blades extreme weight and length, much like a lever, that is able to provide the necessary torque to spin the shaft of the generator. In the present invention, much like a lever, the weight added to the outer circumference of the modified flywheel serves a like purpose of applying torque to the shaft of the rotor to counteract the resistance of the AC generators magnetic forces and/or the pressurized resistance of a hydraulic system. Unlike the wind turbine, the present invention does not cost millions of dollars to manufacture, nor millions of dollars to erect. Nor does the present invention have any negative consequences (death) to birds, animals, and mammals.

#### Legal Note-Federal Court Recognition of Kinetic-Electric Processes

The applicant submits that the functional process disclosed herein is fully supported by federal court precedent. As cited in paragraph [0060], the courts have described wind power



as: “a process by which wind’s kinetic energy is converted into electricity by the use of wind turbines. The wind turns the blades which spin a shaft that connects to a generator to generate electricity.” This is not casual language—it is an official recognition by a United States federal court that a physical sequence of mechanical operations involving rotational mass, a shaft, and an electric generator constitutes a process under the meaning of 35 U.S.C. § 101. The applicant’s invention—functionally and structurally—follows that exact same process. Instead of using wind to create rotation, the invention applies engineered kinetic energy through a rotating wheel. That wheel turns a shaft. That shaft drives a generator. That generator produces electricity.

The only distinction lies in the source of the kinetic energy—not in the mechanical sequence used to convert it into electricity. A wind turbine is a passive environmental component; the Trident system uses an engineered and controllable flywheel. But the process—converting kinetic energy via a shaft into electrical output—is identical.

Therefore, any refusal to classify the present invention as a statutory process would place the Patent Office in direct contradiction with established federal court precedent. The courts have already ruled that converting kinetic energy to electricity through a generator is a process. The applicant is not claiming the wheel as a component—only as one exemplary way to generate that energy. If turbines qualify as process components under § 101, then so must the wheel. To rule otherwise would be inconsistent, arbitrary, and legally unsupportable.

-[0061] The present invention, combines numerous arts and reveals processes that generates electrical and mechanical energy without the use of fuels or other known alternative energy sources. Further, the present invention is able to obtain the materials necessary for its components from the United States and Allied countries without having to resort to deals with adversarial nations, all the while providing one of the cleanest and safest energy sources contrived. Further, the battery systems used in the TIES processes are used for cranking power, not storage capacity. Therefore, standard lead acid batteries are the recommended choice to start the TIES processes. Also, the plastic and lead from the spent batteries is recycled and the sulfuric acid is capable of being regenerated at existing plants. The hydraulic fluid used in the TIES processes is available in biodegradable versions and is recyclable. The steel, metals, copper and other materials necessary to manufacture the components that may comprise the TIES processes have been confirmed by the Applicant to

all be available in the United States.

-[0062] In the simplest of terms, the Applicant would explain that the inclusion of the modified flywheel, as explained and exemplified hereinbefore and hereinafter, may counteract the pressure and/or resistance of the device being driven. Therefore, once the modified flywheel reaches the exemplified RPM through a chosen starting process, the AC motor (driver) may encounter resistance from only the chosen bearing system(s) and the air surrounding the modified flywheel.

-[0070] As used herein, the word “exemplary” means “serving as an example, instance or illustration.”

Collectively, these excerpts serve as indisputable evidence that the applicant has consistently defined the invention as a process rooted in classical mechanics. The references to mechanical resistance, torque generation, centrifugal force, and safety considerations are not incidental—they are central to the invention’s identity. These disclosures reinforce the statutory classification under 35 U.S.C. § 101 and highlight the examiner’s failure to evaluate the invention within its proper legal and technical framework.

## IX. ANTICIPATED REBUTTAL OF PRIOR FLYWHEEL TECHNOLOGY

### Functional Mischaracterization in the Examiner’s Interpretation

The Applicant anticipated from the outset that prior art citations would attempt to classify the invention under review as a form of traditional flywheel system. This mischaracterization was directly addressed in the original specification, and the distinction was made clear using both functional and historical framing. In Paragraph [0058], the Applicant stated unambiguously: "Flywheels of the past, generally, have been used to store kinetic energy that is used for sudden fluctuations of power needed by the device being driven. Some have referred to the flywheel as a mechanical battery or likened to an accumulator in a hydraulic system." This paragraph draws a deliberate boundary between the Applicant’s claimed process and any historical system intended for energy storage or buffering. It provides early clarification that the invention is not designed to absorb kinetic energy and discharge it later, but instead operates on a continuous functional principle.

Paragraph [0059] advances this distinction even further by introducing the term “modified flywheel” and assigning it a new mechanical identity: "The present invention calls for a

specifically designed and weighted engineered configuration used as a constant source of torque, applied to a load... Once the modified flywheel begins rotating, the centrifugal forces associated with the rapid rotation urge the weight outwardly to the weighted outer circumference of the modified flywheel..."

Here, the Applicant defines the purpose of the rotating mechanism not as a passive storage device, but as a deliberate and continuously active source of torque. The shift from storage to real-time mechanical output is neither subtle nor implied—it is explicitly declared.

Furthermore, this functional redesign is not cosmetic. It reclassifies the mechanism entirely, removing it from any legitimate comparison to the traditional flywheel disclosures cited in the rejection.

While prior systems, such as those described by Chaang, rely on the inertia of a rotating mass to temporarily retain energy, the Applicant's invention initiates torque transfer immediately upon motion and sustains it through applied vector leverage. It is not a capacitor. It is not a spring. It is a torque process. The cited art fails to acknowledge this categorical shift, and the Office Action makes no attempt to reconcile the Applicant's plainly stated distinctions in Paragraphs [0058] and [0059].

The rejection instead collapses the invention into a component it deliberately disclaimed—ignoring not only the disclosure but the fundamental intent of the design. This failure of interpretation undermines the validity of the rejection itself, as it rests on the misapplication of an inapplicable classification.

The Applicant's disclosure foreclosed this error in advance. The rejection, in ignoring that, commits a critical oversight.

#### Procedural and Structural Failures in Examiner Review

The Applicant submits that the rejection in this case is not the result of interpretive disagreement, but rather of a breakdown in the procedural integrity of the examination itself. The Office Action contains multiple indicators that the examiner did not perform a complete and thorough review of the submitted specification.

Foremost among these indicators is the presence of overlapping and improperly segmented paragraph identifiers. In Paragraph [118], the Applicant introduced a new component with the heading "[118] Rigid." However, due to a formatting oversight, a second identifier—" [119] Sleeve"—was embedded within the same paragraph without appropriate carriage

return or spacing. The result was a duplicated use of the paragraph number [119], which then appeared again correctly on the subsequent line as "[119] Spur Gear." This duplicated structure was not acknowledged or questioned in the Office Action. Even more concerning is the absence of an entire paragraph. The document includes a clear numerical gap where Paragraph [141] should appear. The page transitions directly from Paragraph [140] to [142], with no indication that the gap was intentional or addressed. Such an omission cannot occur during a legitimate page-by-page review of a numbered specification. The failure to identify both the duplication of [119] and the omission of [141] may suggest that the review process relied on automated text scans rather than manual reading. This conclusion is further supported by the broader pattern of procedural irregularities throughout the Office Action, which include: - Ignoring structural continuity and lexicographic formatting, - Overlooking additional missing elements such as Paragraph [215], - Focusing exclusively on minor typographical errors while missing major context markers. According to MPEP § 707.07(f) and 37 CFR § 1.104(a)(1), the examiner is obligated to conduct a complete, thorough, and careful examination of the application. This includes attention to content structure, internal logic, and presentation format—not just surface grammar. The facts here demonstrate that such a standard was not met. The Applicant confirms that all paragraph numbering anomalies identified are formatting issues only and do not reflect omissions or duplications of substantive content. The specification as filed contains all information necessary to enable the claimed invention.

## X. INTRODUCTION OF THE WESCOTT TORQUE WHEEL

### Clarification Mandated by Examiner's Procedural and Technical Mischaracterizations

The Wescott Torque Wheel is not a claimed element of the present invention. It is not necessary for the function, construction, or operation of the Trident Independent Energy System. It is introduced here solely in response to multiple procedural and technical failures by the examiner which demand correction. Specifically:

- The examiner repeatedly categorized the Trident system as a component-based assembly, rather than what it is: a mechanical process. This mischaracterization led to an improper rejection under 35 U.S.C. § 103 based on inapplicable structural prior art.
- The cited references—including Chaang, Wilson, and others—represent flywheel devices,



energy storage mechanisms, or inertial mass systems, none of which reflect the continuous, real-time torque process disclosed by Trident.

- The examiner's rejection demonstrates a fundamental misunderstanding of mechanical leverage, rotational process systems, and the governing physics of torque generation without reliance on stored energy.

- Prior art rejections were made based on incorrect statutory classifications and inappropriate mechanical assumptions, which have no bearing on the lawfully engineered energy process at the heart of the Trident system.

For these reasons, and pursuant to

the Wescott Torque Wheel is disclosed as an educational and structural aid—not as a required element of the claimed invention. It is introduced to clarify process classification, correct examiner confusion, and eliminate any false equivalence to inertial or perpetual motion devices.

## XI. STATUTORY BASIS FOR DISCLOSURE OF RELATED APPLICATION

### Examiner Action Opened the Door for Cross-Reference

The citation of Chaang and other flywheel-based systems as prior art forced the Applicant to disclose a related application—the Wescott Torque Wheel—under authority of MPEP § 201.11 and 37 C.F.R. § 1.98. These rules permit and, in cases of examiner misinterpretation, compel the applicant to supply clarifying references from co-filed or parallel applications, particularly when the misunderstanding involves structural, functional, or categorical misalignment.

Had the examiner properly recognized the invention as a process—and not a flywheel or mechanical battery—the Wescott Torque Wheel would have remained legally partitioned. But the repeated references to prior art based on rotating masses (including Chaang) rendered it necessary for the applicant to introduce this separate, component-level design in order to educate the examiner and protect the core identity of the Trident invention.

This was not opportunistic. It was a corrective measure. The disclosure is made in good faith under:

- MPEP § 609 – Disclosure Requirements
- MPEP § 201.11 – Related Applications

- MPEP § 2164.08 – Enabling Disclosure
- 37 C.F.R. § 1.56 – Duty of Candor

The applicant has fulfilled all duties to clarify confusion introduced by the examiner. This cross-reference is compliant, justified, and necessary to prevent rejection under misapplied statutory grounds.

## XII. LEGAL SEPARATION OF TRIDENT PROCESS AND WESCOTT COMPONENT

### MPEP § 201.06(b) and Preservation of Patent Class Separation

The deliberate separation between the Trident process and the Wescott Torque Wheel component is not arbitrary—it is legally required under MPEP § 201.06(b), which mandates that applicants should not combine process and apparatus claims in ways that blur class distinctions. The Applicant anticipated that misinterpretations could occur if both were claimed simultaneously. Therefore:

- The Trident Independent Energy System was filed as a process to generate torque through physical means.
- The Wescott Torque Wheel was filed as a standalone component in a separate patent application.
- The examiner’s citation of flywheel-based device prior art ignores this formal separation. In doing so, the Office has applied device-based rejection criteria against a process-based filing. The applicant’s clarification—delivered in this response—is not an amendment. It is a statutory rebuttal under 35 U.S.C. § 112 and MPEP § 2164, correcting the record for enablement and misidentification. This separation was intentional, strategic, and legally correct. The USPTO must evaluate the Trident process as a process—not as a hardware device like those improperly cited.

## XIII. MISCHARACTERIZATION OF THE CLAIMED INVENTION: PROCESS VS. COMPONENT

### Failure to Recognize the Trident Systems as a process

A central flaw in the Office Action lies in its continued misclassification of the Applicant’s invention as a mechanical component—specifically, a flywheel device—rather than recognizing its true legal identity: a process. This mischaracterization is not a minor

interpretive issue; it is a categorical error that invalidates every comparison drawn between the invention and the cited prior art. At the heart of this failure is the conflation of a process-based torque-generation system with a passive energy-storing device. The cited art focuses entirely on flywheels that store and discharge energy in bursts or to smooth output fluctuations. By contrast, the Applicant's invention does not store energy at all. It begins functioning only after motion is initiated and is engineered to generate torque in real time to neutralize resistance present on a shaft. This core distinction was plainly stated in the Applicant's specification. The term 'process' was used consistently and deliberately throughout the application. Foot-pounds of torque are referenced not as theoretical outputs, but as applied mechanical force transferred along a rotational axis. The purpose of the invention is not to rotate a wheel—it is to overcome opposing force with continuous directional torque. Nowhere is the misinterpretation more egregious than in the Office Action's direct statement: "Wilson discloses means to initiate and maintain a rotor flywheel at substantial constant speed." This single sentence is used as the fulcrum for rejecting the entire application. Yet it utterly fails to recognize that the Applicant's process is not concerned with maintaining rotational speed as a passive property. It is concerned with delivering force through a dynamic and adjustable leverage-based system. The invention described by Wilson may sustain momentum—but it does not drive resistance. It does not output torque. It does not, in any respect, act as the Applicant's invention does. Furthermore, a process, by legal definition, is a sequence of steps or operations that achieve a specific result. The Applicant has detailed such a process, grounded in physics, that results in torque generation. The cited references never describe or claim a process of torque generation through engineered leverage; they merely describe objects that spin. That is not process—that is motion. Under 35 U.S.C. § 101, processes are patent-eligible subject matter. The Applicant has claimed a process. The examiner, by reducing that process to a misunderstood component, has misapplied the statute, disregarded the specification, and undermined the integrity of the rejection. This invention is not a component that stores energy. It is a mechanical process that produces torque in real time. Until that distinction is properly recognized, no rejection can lawfully stand.

#### XIV. CLARIFICATION OF INVENTIVE SUBJECT MATTER

## Full Compliance with the Laws of Physics: Trident Independent Energy Systems (TIES) and the Wescott Torque Wheel

The present invention — Trident Independent Energy Systems (TIES), including the Wescott Torque Wheel — operates fully within the known and accepted laws of classical physics.

The Applicant clarifies that the invention neither seeks to violate nor claims to surpass the laws of physics, thermodynamics, or mechanical energy principles.

Instead, the invention represents a lawful evolution of ancient mechanical advantage principles, applied through rotational leverage rather than traditional linear configurations.

### Classical Levers and Mechanical Advantage

Since ancient times, the three classical classes of levers have lawfully demonstrated how force can be amplified to move heavier loads with reduced effort. All three classes — First, Second, and Third — operate under Newton's Laws of Motion and the principles of mechanical advantage:

- Force = Mass  $\times$  Acceleration (Newton's Second Law),
- Work = Force  $\times$  Distance,
- Mechanical Advantage = Load Arm  $\div$  Effort Arm (for levers).

These relationships are fundamental to every lever-based tool in human history, from simple crowbars to complex cranes.

The Trident Independent Energy Systems (TIES) invention builds directly upon these same principles — merely reconfiguring their application from linear motion to continuous rotational torque.

## XV. TRIDENT SYSTEM AS DEFINED PROCESS – SPECIFICATION CITATIONS

The following specification citations are provided to clearly delineate the Trident Systems as a defined process rather than a static apparatus. These paragraphs collectively outline its torque-generation methodology, structure foundations, and application scalability.

Paragraph [0042]: Defines torque generation through circularized lever geometry.

Paragraph [0056]: Clarifies the system does not store energy but applies continuous torque using curved, weighted levers.

-Paragraph [0059]: Explains the regenerative torque effect is engineered through weight distribution, not momentum.



- Paragraph [0047]: Establishes scalability of the process to any shaft-driven device.
- Paragraph [0028]: Describes one of the core processes involved in the system.
- Paragraph [0030]: Details the continuous rotation process including motor characteristics.
- Paragraph [0018] & [0026]: Document metallurgical and engineering consultations for safe high-speed design.

## XVI. STATUTORY BASIS FOR DISCLOSURE OF RELATED APPLICATION

### Examiner Action Opened the Door for Cross-Reference

The citation of Chaang and other flywheel-based systems as prior art forced the Applicant to disclose a related application—the Wescott Torque Wheel—under authority of MPEP § 201.11 and 37 C.F.R. § 1.98. These rules permit and, in cases of examiner misinterpretation, compel the applicant to supply clarifying references from co-filed or parallel applications, particularly when the misunderstanding involves structural, functional, or categorical misalignment.

Had the examiner properly recognized the invention as a process—and not a flywheel or mechanical battery—the Wescott Torque Wheel would have remained legally partitioned. But the repeated references to prior art based on rotating masses (including Chaang) rendered it necessary for the applicant to introduce this separate, component-level design in order to educate the examiner and protect the core identity of the Trident invention.

This was not opportunistic. It was a corrective measure. The disclosure is made in good faith under:

- MPEP § 609 – Disclosure Requirements
- MPEP § 201.11 – Related Applications
- MPEP § 2164.08 – Enabling Disclosure
- 37 C.F.R. § 1.56 – Duty of Candor

The applicant has fulfilled all duties to clarify confusion introduced by the examiner. This cross-reference is compliant, justified, and necessary to prevent rejection under misapplied statutory grounds.

## XVII. CLASSIFICATION OF THE WESCOTT TORQUE WHEEL AS A FOURTH-CLASS ROTATIONAL LEVER

### The Fourth-Class Rotational Lever: Application Of Identical Physics

The Wescott Torque Wheel embodies a Fourth-Class Lever System, wherein:

- The hub serves as the fulcrum,
- The mass extending to the outer rim acts as the lever arm,
- The shaft connected directly to the hub constitutes the load,
- The distributed rim mass applies continuous mechanical advantage during rotation.

Mechanical advantage is preserved and applied rotationally through  $\text{Mass} \times \text{Radius}$  relationships, not through the storage or spontaneous creation of energy.

The Fourth-Class Rotational Lever uses the same fundamental physics as classical levers — but reimagined to apply continuous torque across a rotating shaft rather than across a linear displacement.

### Compliance with the First Law of Thermodynamics

The First Law of Thermodynamics holds that energy cannot be created or destroyed, only transformed from one form to another. The Applicant submits that the TIES process fully complies with this law:

- No new energy is created,
- No violation of energy conservation occurs,
- Mechanical work is achieved through lawful force amplification via engineered mass and lever arm distance.

Input energy is not "free" — minimal external rotational input is still required to overcome system resistances such as friction and air drag. The invention simply achieves higher mechanical efficiency through lawful leverage, not perpetual energy generation.

### Compliance with Newton's Laws of Motion

The TIES process and Wescott Torque Wheel system fully obey Newton's Three Laws of Motion:

- Law of Inertia:\*\* A rotating system remains in motion unless acted upon by external forces (e.g., friction).
- Law of Acceleration ( $F = ma$ ): Torque output is the lawful result of applied mass at distance from the fulcrum (hub) — not spontaneous acceleration.
- Law of Action-Reaction: The system generates torque in proportion to input forces applied

through mass and rotational leverage — with equal and opposite reactive forces at the shaft. No law of classical mechanics is violated by the invention's operation.

#### No Perpetual Motion: Lawful Mechanical Advantage Only

The Applicant explicitly clarifies that the present invention is not a perpetual motion machine.

- The system requires input energy to initiate and maintain rotation.
- The system suffers mechanical losses like friction and bearing resistance, consistent with all real-world mechanical systems.
- The system produces mechanical advantage through lawful amplification of input force, not through the unlawful creation of energy.

The Wescott Torque Wheel functions precisely within classical physics, applying engineered mechanical advantage through rotational leverage in a novel and scalable manner.

#### Simple Analogy for Mechanical Advantage

Imagine a small child using a long seesaw (first-class lever) to lift a heavy rock. The child applies only a small force at the far end of the seesaw, but because the lever arm is long enough, that small force can lift a much heavier load at the other end. The child has not "created" more energy than they put in. They simply applied mechanical advantage: A small force applied over a longer distance produces a greater lifting force over a shorter distance.

The Wescott Torque Wheel applies this same principle rotationally: By distributing engineered mass outward from the hub (fulcrum), the system transforms input force into higher continuous torque output. No energy is created or destroyed — only redirected and amplified through lawful mechanical leverage.

#### Torque Output at Different Thicknesses Adding Additional Weight (36-Inch Wheel)

Thickness (inches)	Estimated Mass Increase	Torque (lb-in)
1 inch	Base (standard design)	1800 lb-in
1.5 inches	1.5x mass	2700 lb-in
2 inches	2x mass	3600 lb-in
2.5 inches	2.5x mass	4500 lb-in
3 inches	3x mass	5400 lb-in

4 inches	4x mass	7200 lb-in
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## XVIII. ORIGINS OF LEVER TECHNOLOGY

### Lever Use in Ancient Irrigation

The lever is one of the six classical simple machines – a rigid bar pivoting on a fulcrum – that amplifies force via mechanical advantage. Remarkably, levers date back to the dawn of technology. Anthropologists note that even a prehistoric digging stick can be seen as a primitive lever – in fact, Stanley cites the digging stick as “the first lever,” crediting early tool-users (likely women) as inventors of lever technology. Archaeological evidence confirms basic lever devices in the Neolithic and Bronze Age. For example, balance scales in the ancient Near East (c. 5000 BC) are among the earliest recorded lever mechanisms. In a simple lever, work is conserved by trading force for distance: “Work = Distance  $\times$  Force,” so reducing effort requires increasing its lever arm. Even a door illustrates this: pushing near the knob (far from the hinge) makes opening easiest, since the effort arm is longest.

### Architectural Applications in Egypt

Levers were commonplace in antiquity. By c. 3000 BC the Mesopotamian Shaduf (pronounced “sha-doof”) was used for irrigation: “a long wooden lever that pivoted on two upright posts... at one end a counterweight, and at the other a pole with a bucket attached.” Farmers pressed down on the pole to draw water, letting the counterweight assist lifting. The Egyptian tomb painting above (Ipuy’s Garden, ca. 1250 BC) shows a man using a Shaduf-style lever to scoop irrigation water – an illustration of the lever’s ancient pedigree. Archival sources note that “levers appeared as early as 5000 B.C. in the form of a simple balance scale,” and by about 1400 BC Egyptian builders used levers to lift huge stones in pyramid construction., New Kingdom masons carved special holes in obelisks (and left protruding “bosses” on them) solely so poles (levers) could be jammed in and levered for moving slabs of 100+ tons. In irrigation, the Shaduf was later supplemented by the water wheel and pulley systems. By about 500 BC, water wheels were invented; a “bucket chain using a pulley” is even suggested as the means to water Babylon’s Hanging Gardens. Pulleys themselves are extremely old: according to one source, “the pulley... was ancient in origin: though the first crane device dates to about 1000 B.C., pictorial evidence suggests that pulleys may have



been in use as early as the ninth millennium B.C.” In short, in Mesopotamia and Egypt the lever was a workhorse of agriculture and construction, enabling irrigation and monumental building by greatly multiplying human strength.

#### XIX. Lever Mechanisms and Engineering in Antiquity

The lever’s science was first systematically explained in Classical antiquity. In the 3rd century BC the Greek scientist Archimedes formulated the lever law. As he quipped, “Give me a place to stand and I will move the world,” a statement highlighting the lever’s power. Archimedes went on to rigorously define the mathematics: “effort multiplied by the length of the effort arm is equal to the load multiplied by the length of the load arm.” Simply put, the longer the effort arm, the smaller the required force. Archimedes’s principle means that, for example, lengthening a crowbar or pushing farther from a fulcrum makes lifting a heavy stone much easier. A later Hellenistic engineer, Hero of Alexandria (1st c. AD), and others applied these laws, and the famous Archimedean lever concept entered the toolbox of ancient engineers. The Romans and their contemporaries exploited levers in many machines. Lever principles underlay Roman cranes, vaulting cranes and catapults. (In fact, Roman military engineers incorporated levers in siege engines: using counterweights and lever arms, catapults could fling heavy stones, incendiary barrels, or shrapnel-packed projectiles across a defensive wall with lethal force.

#### Modern Applications and Enduring Legacy of the Lever

Throughout history, the lever has been remained foundational mechanism— but its relevance has never faded. From industrial machines to household tools, lever-based principles continue to shape the modern world. This section shifts from historical origins and ancient engineering to the contemporary technologies and mechanical systems that still rely on lever mechanisms today. Far from being an obsolete concept, the lever has evolved and integrated into a very fabric of modern engineering and design.

Today, the lever principle is everywhere – far from obsolete. It underpins countless modern machines and devices. For instance, pulley blocks (block-and-tackle systems) use multiple wheels to multiply force in lifting – a compound lever system. In this modern block-and-tackle setup, pulleys (and their lever arms) allow railway technicians to lift heavy counterweights with modest effort. Such pulley systems are descendants of ancient Shadufs

and crane-levers. Physicists and educators summarize the idea thus: “if we decrease the force, we have to increase the distance” – exactly the lever tradeoff seen in block-and-tackle. Likewise, wheel-and-axle machines (circular levers) abound car wheels and tires, ship steering wheels, and gears all operate by rotational lever action. Even everyday tools like crowbars, scissors, pliers, wrenches and seesaws are levers. In engineering, control rods, brake pedals, and hydraulic jacks all use lever arms to achieve large forces from small inputs. In short, from prehistoric shafts to pulleys to modern machinery, the lever concept has remained central to technology. As one source notes, levers have been “helpful and important throughout history” and continue to “decrease the effort it takes to move, lift, and etc.” Far from a relic, the lever’s simple “moveable beam” design still makes work easier in the 21st century. (Even current mechanical-design research – such as UCLA’s work on compliant mechanisms and robotic manipulators – builds on these ancient principles.) Thus the crane, bicycle wheel, and engine valve lever carries forward the millennia-old legacy of the simple lever.

#### Archimedes and the Science of Levers

Authoritative histories and accounts of simple machines and levers (including work on Archimedes, Egyptian technology, and archaeological finds) were used. Quoted material is cited from various academic and popular science references including Museum of Science materials, educational content, and historical documentation.

Torque Generation: The cited material imply rotational force as an indirect byproduct of guided motion. There are no equations or load-measurements provided to substantiate how torque is sustained or measured.

Polar opposite, Wescott explicitly calculates torque using the formula  $\text{Torque} = \text{Radius} \times \text{Force}$  and provides empirical methodology to measure torque weight and radius are modified.

By systematically adding weight and measuring the end result with accuracy. This calculation process enables the determination of rotational torque with high precision and reliability. The rotational torque is then calculated using the known weight and the formula:  $\text{Torque} = \text{Radius} \times \text{Force}$ , where the radius corresponds to half of the diameter and the force is the total weight of the rim on the Wescott Torque Wheel. As incremental increases in additional weight increase the diameter of the wheel and/or rim... the resulting torque values

are recorded for each incremental increase in diameter, providing a comprehensive dataset for analysis and comparison.

#### Structural Comparison: Wescott Torque Wheel and Classical Lever Mechanics

The Wescott Torque Wheel structurally mirrors and advances classical lever design: - Hub as Fulcrum: The central hub functions as the fulcrum, acting as the pivot point around which mechanical advantage is achieved. - Spokes or Solid Mass as Lever Arms: Radiating from the hub, the solid arms or spokes serve as lever arms transmitting applied forces outward toward the perimeter. – Adjustable weight at Rim as force: At the outer circumference, the adjustable weight acts as the force. Its radial distance from the hub can be varied to fine-tune torque output.

#### The Wescott Torque Wheel – Functional Comparison

Whereas traditional levers were fixed in dimension and material, the Wescott Torque Wheel introduces dynamic adaptability. Torque output can be precisely calibrated by: - Modifying the radial distance (length of the lever arm from hub to rim),  
- Adding the magnitude of the mass placed at the perimeter. This enables the Wescott

#### Supporting Historical and Academic Sources

Historical publications (\*Mechanics Illustrated [1]\*, 1956), educational institutions (Museum of Science and Industry [2], Chicago, 2023), and contemporary academic research (Flexible Research Group [3], UCLA, 2023) all confirm that lever technology continues to evolve. The Wescott Torque Wheel fulfills and extends this evolution by introducing a scalable, adjustable torque-generation device fundamentally rooted in lever mechanics. Its structural and functional innovations represent a logical and significant advancement of the lever principle, adapted for modern shaft-driven applications across diverse industries.

## XX. HISTORY OF THE LEVER AND FORMAL CLASSIFICATION OF THE FOURTH-CLASS LEVER

#### Origins and Evolution of the Lever in Human History

The mechanical lever is one of the oldest tools in human history, classified traditionally into three types based on fulcrum, force, and load positioning.

The Fourth Class Lever, embodied by the Wescott Torque Wheel, departs from traditional

linear levers by adopting a circular configuration where force and load revolve around a central axis, continuously generating torque. This represents a natural mechanical evolution. The Fourth Class Lever introduces continuous torque application through innovative vector redistributions along a circular axis.

This innovation, in contrast to traditional lever classes, demonstrates clear advancement over the cited mechanical references.

Energy preservation is enhanced, not violated, by the TIES system.

#### Historical Sources Demonstrating Lever Evolution

Multiple authoritative sources document the evolution of the lever as an ongoing mechanical principle. In *\*Mechanics Illustrated\** (Vol. 52, No. 6, June 1956), a review discussing automotive gearshift designs noted the poor mechanical advantage of a "flimsy shift lever," describing it as giving the driver "as much confidence as he'd have in trying to stop an elephant stampede with a spitball." This illustrates that lever designs were still evolving and being refined for functional improvement even in the mid-twentieth century. Similarly, the Museum of Science and Industry in Chicago continues to educate the public on the basic lever principle through interactive exhibits. As stated in the Museum's educational materials, "A door is a type of lever. Depending on where you push on it, it takes more or less force (effort) to move it" (Museum of Science and Industry, Chicago, Pre-Visit Activity Guide, 2023). Contemporary research from UCLA, led by Dr. Jonathan Hopkins and the Flexible Research Group, further projects the lever's evolution into mechanical metamaterials and compliant mechanisms. Hopkins's team enables "the design and fabrication of flexible structures, mechanisms, and materials that achieve extraordinary capabilities via the deformation of their constituent compliant elements" (Flexible Research Group, UCLA, 2023).

#### History of the Lever and Introduction of the Fourth Class Lever

-According to the Museum of Science, Boston [4] (2001), "the lever has been helpful and important throughout history and continues to decrease the effort it takes to move, lift, and transport objects."

-The Smithsonian Institution [5] Archives (1998) document that mechanical leverage has continually evolved, adapting to new technological needs.

- Popular Mechanics [6] (February 1950) reported that combining pulley and lever systems enhanced lifting mechanisms, enabling greater loads to be moved with less human effort.
- Mechanics Illustrated (March 1957) described how engineers advanced mechanical linkages, highlighting ongoing innovation based on ancient leverage concepts.
- The Museum of Modern Art [7] (MoMA) 1968 Exhibition noted that "mechanical principles such as the lever, the wheel and axle, and the pulley have not only shaped our past but continue to influence modern design and engineering."

#### Biological Evidence of Leverage Systems in Nature

Research by Mark W. Westneat [8] (University of Chicago) reveals that fish jaw systems operate through third-class rotational lever mechanics, where cranial muscles generate powerful jaw movement. Many biological structures demonstrate lever-based force multipliers, validating the mechanical advantage principles observed in natural evolution.

Circular Leverage Systems: Pulleys, Flywheels, Wheel-Pulleys utilize a rotating wheel to distribute force and multiply mechanical advantage, functioning as circular levers around a central axis.

- Flywheels operate by spinning mass to store kinetic energy and redistribute force efficiently — another practical application of circular leverage.
- Wheel-and-axle machines employ rotational leverage to transmit force and movement across distances with reduced effort.

#### Emergence of the Fourth Class Lever

Although not formally categorized in classical mechanics, rotational leverage systems have existed implicitly through historical devices such as pulleys, flywheels, and wheel-and-axle systems. The Fourth Class Lever, as embodied in the Wescott Torque Wheel, advances these principles by achieving continuous torque generation through optimized vector management around a rotational axis. This innovation represents a lawful, historically grounded, and scientifically inevitable advancement in mechanical engineering — a direct and logical evolution of proven leverage systems.

#### Lever Classes Educational Section Introduction to Levers

A lever is a simple machine consisting of a rigid bar that pivots around a point (the fulcrum)

to move a load with less force. Mechanical advantage is achieved by the strategic placement of the fulcrum relative to the force and the load. Levers are classified into three categories based on the relative position of the load, effort (force), and fulcrum. Effort is applied between the load and the fulcrum. -Requires more effort force, but results in greater speed and distance of load movement. - Examples: Tweezers, Baseball Bat, Fishing Rod. -

Mechanical Behavior: Increases speed and range of motion; sacrifices force multiplication. Early evidence of lever usage dates back over 5000 years to ancient Mesopotamia and Egypt, where simple wooden poles were employed to lift water and move large objects. (Museum of Science, Boston)

-Archimedes (287 BC - 212 BC) formally defined the law of the lever, stating: "Give me a place to stand, and I will move the earth."

-Roman engineers applied levers extensively in construction, military catapults, and mechanical devices, establishing the fundamental engineering principles that persist today.

#### Foundational Principle: The Reinvention of the Lever

At the core of the Applicant's process lies a principle so universally known it is taught in elementary physics: the mechanical advantage of a lever. The claimed system is not a collection of arbitrary parts or speculative arrangements—it is the application of first principles in a form that the prior art utterly failed to conceive.

What the Applicant has done is nothing short of transformative: he has taken the ancient straight lever and rendered it into a circular configuration, creating a continuously operating torque-generating system. This is not a flywheel. This is not energy storage. It is a torque process—predictable, scalable, and grounded in the fundamental mechanics of rotational force.

None of the cited references—including Camm, Wilson and Aldeneshe, teach, suggest, or even understand this principle. They all fall into the trap of describing parts and mechanisms. But the invention is not a part—it is the application of force through a process, using physics the world has known for millennia, but never deployed in this manner.

This is why the invention is not only novel—it is inevitable in hindsight, and that is the hallmark of true innovation.

## Rewriting the Limits of Classical Physics

This invention does not break the laws of physics or thermodynamics—it obeys them with such fidelity that it exposes the misconceptions surrounding traditional energy systems. The Applicant is not circumventing science; he is redefining its boundaries through a lens that others have overlooked.

By reconfiguring a straight lever into a continuous rotational process, this system produces torque within the full compliance of physical law—yet challenges the outdated assumptions of energy generation itself. This is not theoretical. It is built, tested, and delivering power.

The process is a reminder that innovation does not always emerge from complexity.

Sometimes, it comes from seeing the simplest truths with new eyes.

The Applicant did not merely revise the mechanical form of the flywheel; the Applicant redefined its fundamental purpose. Traditional flywheels have long been used as energy storage devices, absorbing kinetic energy during acceleration and releasing it during deceleration. By contrast, the claimed invention departs from this historical usage entirely. Where prior art systems are designed to store rotational energy, the inventor's system is expressly engineered to generate torque as an active, directional output. This is not a matter of component substitution or performance tuning — it is a categorical reprogramming of purpose.

Rather than passive rotation as a byproduct of momentum, the claimed invention produces deliberate, rotational torque as a functional process, designed to drive shaft-connected components through continuous output rather than cyclical discharge. This shift in mechanical objective — from storage to generation — represents a novel application of leverage physics not taught, suggested, or anticipated by any cited prior art.

## XXI. OFFICE ACTION REBUTTAL

Having addressed the examiner's fundamental mischaracterizations and procedural oversights, the Applicant now turns to the cited references themselves. While the Office Action leans heavily on these prior art examples to reject the claims, a closer inspection reveals a consistent pattern of irrelevance, misapplied concepts, and technological distinctions that invalidate their applicability. Each reference will now be examined in turn, not only to highlight their divergence from the present invention, but to expose the flawed



logic in attempting to equate them. The Applicant asserts that none of the references cited, individually or in combination, render the claimed invention obvious under 35 U.S.C. §103, nor do they anticipate it under §102.

#### FOOTNOTES

[1] \*Mechanics Illustrated\*, Vol. 52, No. 6, June 1956.

[2] Museum of Science and Industry, Chicago. 'Pre-Visit Activity Guide,' 2023.

[3] Flexible Research Group, UCLA, directed by Dr. Jonathan Hopkins. 'Mechanical Metamaterials and Compliant Mechanisms,' 2023.

[4] Museum of Science, Boston. 'Simple Machines: Lever Systems,' 2001.

[5] Smithsonian Institution Archives. 'Historical Survey of Engineering Tools,' 1998.

[6] \*Popular Mechanics\*, February 1950, 'Improved Load-Lifting Systems.'

[7] \*Mechanics Illustrated\*, March 1957, 'Mechanical Linkage Innovations.'

[8] Museum of Modern Art (MoMA). Exhibition on Industrial Design and Mechanical Tools, 1968.

[9] Mark W. Westneat, 'Functional Morphology of Fish Jaw Mechanics,' University of Chicago, 2005.

#### XXII. MISCHARACTERIZATION OF THE CLAIMED INVENTION: MODERN MISINTERPRETATIONS IN PATENT ANALYSIS

One of the most common procedural errors in evaluating process-based inventions is the conflation of structural terminology with operational methodology. This issue becomes even more pronounced in mechanical and energy-based fields, where components such as shafts, motors, and wheels are mentioned for illustration. The Applicant submits that modern examination requires greater discernment between the citation of a component for context and the claiming of that component as essential to novelty. To reiterate: the Trident Independent Energy System is filed as a process. It describes, through demonstrative terminology, certain mechanical elements to support comprehension, but none are claimed as required. The improper reading of those references as limiting components creates a false

statutory classification and leads to unlawful rejection. This section is retained to emphasize that the invention's identity is rooted in procedural mechanics, not hardware configuration.

## DETAILED PRIOR ART REBUTTALS

### XXIII. CHAANG REBUTTAL (US No. 16/270,568)

#### Overview of the Chaang Rebuttal

This document provides a structured, statutory, and mechanical dismemberment of the Chaang reference cited by the USPTO examiner in rejection of the Trident Independent Energy System. Each section isolates core failures in enablement, utility, mechanical viability, and logical application of Chaang as prior art. The following analysis is built directly upon the Applicant's detailed specification, conversations, interrogations of external systems, and applicable law.

### XXIV. LEGAL PREMISE AND PROCESS DISTINCTION

#### Trident Process vs. Device-Based Prior Art

The applicant's invention, titled Trident Independent Energy System, is a legally defined and claimable process under 35 U.S.C. § 101. As clearly and repeatedly stated throughout the original specification, the claimed invention does not rely on energy storage, passive flywheel momentum, or any form of self-spinning mechanical architecture. Rather, it is a process that generates torque continuously and transfers that torque to any shaft-driven component, regardless of function.

The specification uses the term "foot-pounds of torque" 32 times, not as filler, but as the functional output metric of the process itself. This is not a coincidence or drafting anomaly—it is a deliberate, statutorily grounded signal to the USPTO that the invention is not based on rotation or energy storage but on directional torque generation applied to load-bearing mechanical systems.

By contrast, the examiner has cited US-20190229578-A1 (Chaang) as prior art, a reference that is entirely based on a mechanical device with passive, undefined rotational behavior. The Chaang disclosure lacks any process logic, fails to demonstrate how torque is generated or transferred, and does not identify any shaft, any load, or any path from rotation to usable mechanical output.

This is a category error. A flywheel-based device cannot constitute prior art against a system legally filed, described, and claimed as a process.

#### Absence of Input Mechanism—No Load Engagement or Output Transfer

Even if the wheel were to spin, the design fails to describe any structure capable of transferring energy to an external device. There is no shaft, no torque path, no gear train, no coupling. The housing is entirely passive. Without load transfer, no mechanical output occurs—and torque without load is meaningless.

### XXV. MAGNETIC AMBIGUITY AND PERPETUAL MOTION IMPLICATIONS

#### Vague Magnetic References and Implicit Perpetual Motion

Chaang makes repeated reference to magnets, but fails to disclose any usable details about them. There is no mention of the magnet type (e.g., neodymium, ferrite), no information on the field strength (gauss or tesla), and no description of orientation or position relative to the flywheel or housing. This renders the magnetic design both speculative and unenforceable. Further, the Chaang specification presents a flywheel that is not driven by any known force. There is no disclosure of mechanical input, no electrical excitation, and no thermodynamic system at work. It is described as spinning autonomously.

This invokes the logical framework of perpetual motion—though the term is never explicitly used. A system that rotates indefinitely without input and claims to deliver output constitutes a physical impossibility. The examiner’s reliance on such a citation—absent any disqualifying commentary—suggests a failure to review the Chaang reference for basic scientific viability.

Under U.S. patent law, perpetual motion machines are not patentable unless a working prototype is provided. Even then, the system must demonstrate real-world utility and functionality. Chaang fails both tests. The system described is incomplete, undefined, and physically implausible under standard principles of energy conservation and torque transfer.

### XXVI. MECHANICAL AND STRUCTURAL FAILURES IN CHAANG

#### Absence of Input, Output, and Transmission Mechanisms

The Chaang disclosure does not include any mechanical structure capable of initiating motion. There is no hand crank, no electric motor, no combustion driver, and no mention of

any mechanical trigger capable of starting the system. As such, the flywheel appears to rotate spontaneously, in violation of known mechanical principles. There is likewise no output structure. Chaang does not disclose a shaft, gear, coupling, belt, chain, or interface between the rotating wheel and any external device or load. The flywheel's motion is self-contained and isolated. This is mechanically equivalent to spinning a bicycle wheel in the air and claiming it powers a machine.

No wiring or circuitry is disclosed. While magnets are referenced, there is no electrical diagram, wiring pathway, or circuit logic. There is no input or output for power in any form—electrical or mechanical. No generator, no rectifier, no capacitive storage. The flywheel spins in a vacuum of function.

There is no mention of any load-bearing component attached to the system. The specification does not describe a torque path. There is no coupling of motion to a device that can be driven, measured, or loaded. This violates the basic requirement of utility under 35 U.S.C. § 101 and the enablement requirement of § 112(a).

## XXVII. BULLET POINT DISMEMBERMENT OF CHAANG DISCLOSURE

### Summary of Structural and Legal Failures in Chaang

The following bullet points enumerate the specific, unambiguous failures of the Chaang reference. These failures are mechanical, electrical, legal, and structural, and they render the citation entirely inapplicable as prior art against the Trident Independent Energy System:

- No input: No hand-start, no motor, no wiring, no trigger. The flywheel appears to rotate spontaneously.
- No output: No shaft, gear, pulley, coupling, or generator connection. The flywheel's motion serves no defined load.
- No circuitry: No electrical connections, no wiring diagrams, no circuit logic—despite claims of magnetism.
- No magnet specifics: Chaang cites magnets, but gives no type, strength, orientation, or location.
- No torque path: There is no mechanical structure shown to deliver torque to any load-bearing system.
- No system logic: There is no explanation of how energy flows, transfers, or converts into

usable form.

- Perpetual motion implications: The system implies indefinite motion without input, which is scientifically invalid.
- No enablement: Under 35 U.S.C. § 112(a), the system requires complete speculative assembly.
- No utility: Under 35 U.S.C. § 101, the system cannot perform any real-world function as described.
- Not a process: Chaang's system is not a process—it is a speculative, unconnected flywheel with no legal or mechanical merit.

## XXVIII. STATISTICAL FORCE MULTIPLIERS IN SPECIFICATION

Torque, Shaft, and Neutralization: The Repeated Foundations

The examiner's rejection ignored the very metrics that define the claimed invention. The applicant deliberately and repeatedly emphasized three terms in the Trident specification to establish the invention's identity as a torque-generating process, not a storage device:

- "Foot-pounds of torque" appears 32 times- "Shaft connected to a device to be driven" appears 31 times
- "Neutralize" (in reference to resistance) appears 3 times- "Process" appears 210 times These are not incidental. They are the structural backbone of the application and serve as statutory signposts to guide the examiner's evaluation under 35 U.S.C. § 101 (utility), § 112(a) (enablement), and § 112(b) (definiteness).

To ignore these repetitions is to ignore the clear intent and mechanics of the process.

Specifically:

- "Foot-pounds of torque" (FPT) establishes that this is a power-yielding process, not a kinetic storage system.
- "Shaft connected to a device to be driven" establishes load engagement, not isolated motion.
- "Neutralize" is used as a mechanical result—the process eliminates resistance on the shaft via torque generation.
- "Process" is used to define

These repetitions are deliberate, strategic, and statutory. They are not excess narrative. They are the process in action.

## XXIX. CHAANG’S LEGAL DISQUALIFICATION UNDER § 112 AND § 101

### Failure to Meet Enablement and Utility Requirements

The Chaang reference fails both major statutory requirements for patentability as prior art:

- 35 U.S.C. § 112(a) – Enablement
- 35 U.S.C. § 101 – Utility

The Chaang specification does not contain enough information to enable a person of ordinary skill in the art (POSITA) to reproduce the claimed invention without undue experimentation. There is no disclosure of input mechanisms, output connections, circuitry, power generation flow, or load-bearing interface. This violates § 112(a).

Further, Chaang presents a device that, even if assembled, performs no defined function. It spins. But it does not drive a shaft, power a system, store energy, or provide measurable torque. As such, it lacks utility under § 101. No useful application is demonstrated or even implied beyond speculative motion.

The lack of:

- Starting mechanism
- Power pathway
- Output coupling
- Functional result

...renders Chaang not only non-enabling, but legally disqualified as an anticipatory or analogous reference against a process like Trident.

It cannot inform, define, or limit the claimed invention. It must be disqualified under both statutory prongs.

## XXX. CHAANG IS NOT PRIOR ART

### Fatal Technical and Legal Deficiencies in Chaang

Conclusive Disqualification Under Patent Law and Scientific Principles the Chaang reference does not qualify as valid prior art under any legal, mechanical, or statutory interpretation. Its deficiencies are not minor—they are fatal:

- It lacks any form of mechanical input
- It lacks any form of mechanical output
- It contains no enablement under § 112
- It demonstrates no utility under § 101
- It does not constitute a process
- It cannot be practiced without undue experimentation
- It fails to deliver or describe torque
- It never establishes a shaft or device to be driven
- It borders on a perpetual motion claim, violating core scientific laws

The reference is not only inadequate—it is irrelevant. The Trident Independent Energy System is a modern process based on Newtonian torque, structured levers, and shaft-load dynamics. Chaang is a speculative housing around a floating wheel.

Chaang and others describe systems where a flywheel rotates around its axis to preserve kinetic energy, acting like a mechanical capacitor. These systems are passive and rely on momentum.

### XXXI. CONCLUSION

The Chaang reference must be disqualified as a citation against the Trident Independent Energy System. It fails to meet the statutory requirements under 35 U.S.C. § 101 and § 112, lacks all forms of mechanical or electrical enablement, and cannot demonstrate utility or process function. The Trident system is a legally grounded, torque-generating process. Chaang is a hollow conceptual structure with no actionable design, no torque path, and no load-bearing function. Its continued use as a citation undermines the integrity of patent examination and must be rectified.

### XXXII. WILSON REBUTTAL (CA 2178349 C)

#### Fatal Deficiency in Examiner's Citation of Wilson

“Wilson discloses means to initiate and maintain a rotor flywheel at substantial constant speed.”

This single sentence forms the entirety of the Office's rejection with respect to Wilson. It is both insufficient and incorrect as a matter of statutory compliance, mechanical engineering,



and claim interpretation. The assertion collapses under minimal scrutiny:

1. Wilson does not disclose a method to initiate rotation independently.
2. Wilson does not maintain speed through any process—only through passive inertia.
3. Wilson’s flywheel has no defined load, no output mechanism, and no torque-driving capability.

Accordingly, for the forthcoming rebuttal outlined in this section, the rejection based on this citation must be withdrawn in its entirety. The Office has provided no enabling evidence, no analogous functionality, and no lawful grounds under § 101, § 103, or § 112 to justify the use of Wilson against the claimed process.

### XXXIII. FUNDAMENTAL FUNCTIONAL MISMATCH

#### Distinction in Energy Origin and System Dependency

Wilson discloses a regenerative braking system designed to temporarily capture kinetic energy from a decelerating vehicle and then return that stored energy to assist acceleration. Its functionality depends entirely on external kinetic input — specifically, the motion of a moving vehicle.

By contrast, the Applicant’s invention is a dynamic torque-generating process, not a kinetic energy capture system.

The Applicant’s system generates torque independently, from a static or low-energy initial condition, through a coordinated mechanical process involving counterweighted leverage, gravitational bias, and centrifugal force amplification.

There is no functional analogy:

- Wilson captures and recycles energy;
- The Applicant's invention generates new mechanical energy dynamically and sustainably.

#### Failure to Enable Under 35 U.S.C. §112

As discussed previously in the Applicant’s rebuttal to Chaang, a valid comparison must disclose sufficient operational parameters to enable skilled artisans to replicate the claimed invention without undue experimentation.

Wilson fails this standard even more fundamentally.

Wilson does not disclose — and does not even suggest — critical elements necessary to

enable the Applicant's claimed process, including:

- No method for initiating shaft rotation without pre-existing external motion;
- No disclosure of a centrifugal torque amplification system;
- No use of counterweighted biasing to create rotational momentum;
- No scalable mechanical torque-driving process independent of external fuel or battery systems;
- No empirical disclosure of necessary weights, dimensions, angular momenta, or RPM safety factors.

Without these disclosures, Wilson cannot possibly teach or suggest the Applicant's dynamic torque generation process.

A skilled artisan could not bridge this gap without inventing anew, which violates the enablement requirement under §112.

#### Wilson Fails the Test of Analogous Art Under §103

Under controlling precedent, prior art must either:

1. Be within the same field of endeavor, or
2. Address a problem reasonably pertinent to the Applicant's problem.

Wilson meets neither requirement:

- Field of Endeavor: Wilson addresses regenerative braking systems for moving vehicles; the Applicant's invention concerns continuous torque generation for industrial and electrical applications.
- Problem Addressed: Wilson seeks to recycle energy during vehicular deceleration; the Applicant's invention creates sustained torque without dependence on prior motion.

Thus, Wilson is not analogous art under 35 U.S.C. §103 and cannot properly be used to reject the Applicant's claims.

#### Absence of Core Operational Elements

Wilson's system lacks disclosure of any operational elements essential to the Applicant's process:

- No process for initiating independent rotation;
- No application of gravitational force alignment;
- No counterweighted torque leverage;

- No centrifugal force utilization for torque amplification;
- No method of generating shaft-driven mechanical energy for external loads.

Rather, Wilson's device is reactive, dependent on existing motion, and non-generative by design.

The Applicant's process is proactive, generative, and sustained.

#### Undue Experimentation Would Be Required (In re Wands)

Wilson's failure to disclose the Applicant's core functional elements would necessitate undue experimentation to adapt it into the claimed invention.

A skilled artisan would have to:

- Invent a method for initiating rotation without external motion,
- Design a gravitationally-biased counterweight system,
- Engineer centrifugal amplification structures,
- Create a scalable industrial torque engine from a system designed only to recover braking energy.

Such invention exceeds permissible modification under §103 and triggers the undue experimentation test as articulated in In re Wands.

#### Critical Safety Omissions

Wilson also fails to disclose or contemplate critical safety factors necessary for high-torque, high-speed rotating systems, including:

- No disclosure of material strength necessary for sustained rotational durability;
- No consideration of centrifugal failure risks at high RPM;
- No empirical limits on rotor mass, peripheral speeds, or failure thresholds.

The omission of these safety disclosures further highlights the non-enabling and non-comparable nature of Wilson.

In contrast, the Applicant's invention explicitly considers material safety standards, weight configurations, and RPM management to ensure safe industrial use.

#### XXXIV. FUNDAMENTAL FUNCTIONAL DISPARITY

##### Standalone Torque Initiation vs. Dependent Energy Recovery

Wilson discloses a reactive regenerative braking system intended to temporarily capture

kinetic energy during vehicular deceleration, store that energy, and reintroduce it during acceleration. Its entire operational framework depends on an external moving vehicle providing the kinetic input.

By contrast, the Applicant's invention is a standalone mechanical torque-generation process designed to initiate independent rotational torque from a low or static energy state. This is achieved through a coordinated series of mechanisms involving:

- Counterweighted lever alignment
- Gravitational bias
- Centrifugal force amplification
- Shaft-driven torque propagation

There is no functional analogy between these systems:

- Wilson captures and recycles existing energy;
- The Applicant's process generates new mechanical energy dynamically and continuously.

This disparity alone disqualifies Wilson as a relevant reference.

Wilson's disclosure is categorically non-enabling. A skilled artisan reading Wilson would not be able to construct or derive the Applicant's claimed invention without undue experimentation or independent invention.

Specifically, Wilson fails to disclose or even suggest:

- Any method for initiating rotation without preexisting external motion
- Any counterweighted or gravitationally-biased lever system
- Any centrifugal force amplification system
- Any method of scalable industrial torque generation
- Any disclosure of weights, dimensions, moment of inertia, or material constraints required for safety and function

This is a complete failure of enablement under §112, as further defined in *In re Wands* (858 F.2d 731, 1988). Without these disclosures, a skilled artisan would need to invent the Applicant's process from scratch, rendering Wilson useless as a comparator.

For prior art to be valid under §103, it must either:

- Belong to the same field of endeavor as the claimed invention, or
- Be reasonably pertinent to the problem the Applicant is trying to solve (*In re Clay*, 966 F.2d 656, 659). |Wilson meets neither condition:

Field of Endeavor: Wilson addresses kinetic energy recovery in moving vehicles. The Applicant's process is a mechanical torque-generation system for use in industrial and electrical applications—an entirely different field of mechanical engineering.

Problem Addressed: Wilson solves how to recapture braking energy from moving vehicles. The Applicant's process solves how to generate new mechanical torque from a static or low-energy start state—completely unrelated problems.

The mismatch is both functional and categorical. Wilson is therefore not analogous art and cannot lawfully be used as a comparator under 35 U.S.C. § 103.

Wilson's failure to disclose critical structural and functional features would require a skilled artisan to reconstruct the Applicant's invention from scratch, triggering undue experimentation as outlined in *In re Wands*.

Specifically, Wilson omits:

- Any means for initiating torque without vehicle motion
- Any gravitationally-biased counterweight design
- Any centrifugal force-driven torque amplifier
- Any specification of materials, tolerances, RPM thresholds, or failure limits
- Any scalable torque-driving application beyond regenerative braking

Such omissions make Wilson non-enabling, and require independent invention to meet the Applicant's process.

## XXXV. SUMMARY OF THE INVENTION

The patent application (entitled “Constant Inertial Rotor Speed Drive”) describes an apparatus for maintaining a rotor at a constant angular velocity relative to an inertial reference frame. In essence, it appears to be a system of components (an electromechanical device) rather than a pure process. The system likely includes a rotating mass or “inertial rotor” and associated drive/control mechanisms. Although the full text is not available here, the title and classifications (gyroscopic instruments and electric drive control) indicate a device designed to actively control rotor speed using motors, sensors, and feedback. In summary, the invention is presented as a mechanical-electrical apparatus (with multiple parts working together), not merely a set of procedural steps.

**Components and Mechanism** The application's title and classification suggest several key elements, one can infer that the device comprises: a rotor or flywheel (the inertial mass), a drive motor or prime mover to spin the rotor, bearings or supports (possibly magnetic bearings) for the rotor, and sensing and control elements (such as speed or position sensors, a controller, and power electronics). It may also include an electrical generator or dynamo if intended to extract energy, or magnets/field coils if magnetic coupling is involved. Additional components likely include power supplies and structural frames.

#### Scientific Validity (Thermodynamics and Physics)

From a physics standpoint, the claimed invention raises serious doubts. Keeping a rotor spinning at constant speed relative to inertial space would require continuous work to overcome losses. Energy must be expended to maintain motion against inevitable dissipation. Any device that purports to sustain rotor motion without net energy input effectively claims to produce free energy or perpetual motion, which contravenes fundamental physics.

#### Weaknesses and Ambiguities

Several technical and conceptual weaknesses are apparent in the application. First, the invention's operation is not clearly physically justified. If the specification does not provide a realistic power source or means to compensate for losses, its operation is fundamentally ambiguous. Secondly, terms like "constant inertial rotor speed" lack clear definition. Without detailed explanation of how the system avoids energy dissipation, the claimed invention appears highly speculative.

#### Enablement for a Skilled Person

Under patent law, the specification must teach a person of ordinary skill how to make and use the invention. Because the claimed effect is physically impossible, a skilled engineer would find the disclosure non-enabling. Without sufficient detail for reproducibility and with no working example, the patent likely fails the enablement requirement.

#### Prior Art Considerations

As a published patent, this document can serve as prior art against later inventions. However, its practical usefulness as prior art is limited by its speculative nature. It might be used to challenge novelty or obviousness, but its technical merit is nonexistent.

## XXXVI. COMPARATIVE ANALYSIS VS. TRIDENT INDEPENDENT ENERGY SYSTEMS

### Trident Is a Process Under 35 U.S.C. § 101

The Trident system is explicitly claimed and described as a process for generating foot-pounds of rotational torque sufficient to overcome resistance on any shaft-driven load. This process is implemented using an electric motor, a modified torque wheel (not a traditional flywheel), and a shaft-connected generator. Nowhere in Wilson's specification is there any mention of a process that generates torque. Instead, Wilson's device applies mechanical resistance to slow a system, while Trident neutralizes mechanical resistance to keep it moving.

### Wilson Describes a Braking Mechanism, Not a Torque Generator

Wilson is centered around interruption of mechanical motion. Its only interaction with motion is to detect when it exceeds a threshold and to apply force to slow or stop the system. There is no physical or mechanical structure within Wilson that enables positive motion generation, which is the very essence of the Trident process.

By contrast, Trident:

- Uses added weight at the wheel's circumference to multiply torque
- Delivers foot-pounds of torque continuously at the hub
- Is driven by an electric motor and creates electrical output via generator coupling

These functions are absent in Wilson, which operates in the opposite direction—resisting motion, not creating it.

## XXXVII. LEGAL GROUNDS FOR REJECTION OF WILSON AS PRIOR ART

35 U.S.C. § 102 – Lack of Novelty Does Not Apply Wilson does not anticipate any element of the Trident process. There is no energy system, no shaft torque analysis, no coupling to a generator, no motor-based initiation. The subject matter is unrelated to electrical generation, rotational torque output, or shaft-load systems. The novelty of Trident remains intact.

### 35 U.S.C. § 112 – Lack of Enablement

Wilson fails to enable a skilled person to build or implement any system for:



- Electrical power generation
- Torque output at a given RPM
- Load resistance neutralization

There is no discussion of weight, RPM, shaft stress, rotational inertia, torque output, or foot-pounds of energy transfer. The omission of these critical engineering specifications renders Wilson unfit as an enabling reference.

In re Wands, 858 F.2d 731 (Fed. Cir. 1988): a patent is not enabling if undue experimentation would be required to make or use the invention.

Supreme Court Precedent – Anderson’s-Black Rock v. Pavement Salvage Co.

This case held that a mere combination of known mechanical components does not make a new invention unless the combination yields a new function. Wilson’s use of mechanical brakes does not produce a new function—it merely delays motion. Trident generates it. The functions are categorically distinct.

### XXXIII. CONCLUSION

Wilson (CA 2178349 C) is legally and mechanically non-analogous to the Applicant’s claimed invention.

It addresses a fundamentally different problem in a fundamentally different field and fails to disclose or suggest the essential operational, safety, and functional elements necessary to achieve the Applicant’s dynamic torque generation process.

Accordingly, the citation of Wilson as prior art under 35 U.S.C. §103 is misplaced and must be withdrawn.

### XXXIX. ALDENDESHE REBUTTAL (US 2004/0056546)

### XL. INTRODUCTION

The examiner issued a rejection citing: “Aldendeshe discloses a regenerative mechanical system.” This statement is demonstrably incorrect. Aldendeshe discloses a pneumatic energy storage system—a compressed gas chamber functioning as a reservoir, not as a regenerative, torque-producing mechanical process. There is no dynamic cycle, rotational output, or continuous transformation of mechanical energy in Aldendeshe.

In contrast, the present application, Trident Independent Energy Systems (TIES), describes a continuous process of mechanical torque generation via leveraged rotation. The rejection lacks any factual equivalence between the cited reference and the claimed invention, and fails to recognize the governing mechanical distinctions between pneumatic compression storage and torque-leveraged rotational processes.

#### **XLI. CATEGORY ERROR UNDER 35 U.S.C. § 101 — PROCESS MISCLASSIFICATION**

##### **Misapplication of § 101 to Non-Process Prior Art**

The examiner's citation of Aldendeshe reflects a fundamental category error. Aldendeshe does not qualify as a process under 35 U.S.C. § 101. It is a static pneumatic accumulator—an apparatus designed to store compressed gas for later use. It does not perform any transformative process, nor does it generate torque, apply force through a mechanical lever, or sustain rotation through regenerative transfer.

In contrast, the Trident Independent Energy System is a statutory process under § 101. As defined in *Diamond v. Diehr*, 450 U.S. 175 (1981), a process must involve a series of acts or steps transforming an article to a different state or thing. Trident transforms a linear energy input into continuous torque output using a novel mechanical cycle involving third-class and fourth-class lever principles. No such system or mechanism is present in Aldendeshe. The examiner's attempt to align Aldendeshe with Trident under § 101 represents a categorical misclassification. Therefore, the citation is not legally applicable.

#### **XLII. FAILURE UNDER 35 U.S.C. § 103 — NO TEACHING, SUGGESTION, OR MOTIVATION**

##### **Lack of Structural or Functional Equivalence**

The Aldendeshe reference does not teach, suggest, or motivate any person of ordinary skill in the art (POSITA) to replicate or derive the claimed invention. It neither teaches rotational torque generation, nor introduces mechanical leverage, nor presents a regenerative process of any kind. There are no elements in Aldendeshe which—either alone or in combination—suggest the structural or operational logic behind TIES.

Aldendeshe's function is inertial storage and later release of compressed gas; Trident is a

self-sustaining torque-generation system. The absence of any torque-conversion mechanism, mechanical class lever architecture, or rotational transformation within Aldendeshe eliminates it as a valid § 103 comparator.

### **XLIII. ERRONEOUS EQUIVALENCE WITH FLYWHEEL ART**

#### **Fundamental Misclassification of Passive Versus Systems**

##### **Aldendeshe's Flywheel Claim**

The examiner has erroneously equated the Trident Independent Energy Systems (TIES) application with a centuries-old category of passive energy storage—the classical flywheel. This conflation represents a fundamental legal and mechanical error. The cited Aldendeshe patent, titled “Continuous Rotation Electric Power Generator and Method for Generating Electric Power,” describes a system based on flywheel energy storage sustained by magnetic interaction between permanent magnets and electromagnets.

##### **Lack of Enabling Detail**

Aldendeshe’s core assertion is that, once initiated, his system can maintain continuous rotation without external energy input—an overt claim of perpetual motion. Such claims have long been barred by the United States Patent and Trademark Office for violating the first and second laws of thermodynamics. Moreover, the Aldendeshe disclosure lacks any supporting technical detail: there is no material data, no shaft configuration, no torque measurements, and no replicable energy transfer mechanics.

##### **Functional Divergence from TIES**

There is also no mention of directional torque, resistance neutralization, load-bearing output, or shaft-based scalability—factors central to the Trident Independent Energy System. Furthermore, Aldendeshe never redefines the term 'flywheel' or departs from the centuries-old definition of kinetic energy storage via rotational inertia.

In contrast, the applicant of the present invention deliberately introduced the term 'modified flywheel,' using it over 120 times throughout the specification to clearly distinguish it from traditional flywheel behavior. This is not a semantic preference. It is a functional and legal divergence. The modified flywheel described in TIES is an engineered torque-generating

component—not a passive energy buffer. It is built to drive systems, not stabilize them. At no point does Aldendeshe attempt to redefine, repurpose, or functionally distinguish the classical flywheel. His system remains entirely within the established category of inertial energy storage devices, offering no structural, functional, or definitional innovation. As such, the Aldendeshe patent fails to meet the threshold for novelty or enablement and cannot serve as valid prior art under 35 U.S.C. § 112.

#### XLIV. DEFINITIONS OF MODIFIED MERRIAM-WEBSTER DICTIONARY

##### Lexical Precision of the Word ‘Modified’

The repeated use of the term 'modified flywheel,' appearing more than 120 times throughout the TIES specification, is intentional, precise, and legally significant. According to Merriam-Webster's Collegiate Dictionary, 11th Edition, the word 'modified' is defined as: 'changed in form or character; limited in scope or degree.' The flywheel referenced in the TIES process is not a flywheel by historical mechanical standards. It is a re-engineered component whose external geometry may resemble a traditional flywheel, but whose purpose, behavior, and role within the system are fundamentally distinct.

#### XLV. MECHANICAL AND FUNCTIONAL DISTINCTION

##### Redefining the Flywheel: From Passive Reservoir to Active Driver

##### Passive vs. Active Components

Traditional flywheels are designed to act as mechanical batteries, stabilizing systems by storing and releasing kinetic energy via inertia. They are passive components, intended to buffer energy fluctuations and maintain smooth rotational motion—not generate torque. They are non-directional and do not drive mechanical systems.

##### Torque-Centric Design in TIES

In direct contrast, the modified flywheel within the TIES process is explicitly defined as an active torque-generating device. It is engineered to neutralize foot-pounds of resistance and deliver directional torque on demand. Its role is dynamic and process-integrated—it drives the system rather than merely stabilizing it. This is not a component that supports an already-

moving system; it initiates and sustains torque output.

This is not a redundant or stylistic word choice. The use of 'modified flywheel' more than 120 times is a legal and definitional act. It distinguishes the claimed process from historical flywheel art and reinforces the applicant's role as a lexicographer with the legal right to define the terms used. The examiner's failure to acknowledge this repeated and precise use constitutes a mischaracterization of the record.

## XLVI. CATEGORICAL AND CLAIM TYPE MISMATCH

### Lack of Functional Differential

#### Apparatus vs. Process

Aldendeshe opens with the assertion that his system is a flywheel energy storage system. This alone anchors the entire disclosure within the confines of centuries-old mechanical theory. His patent fails to introduce any new mechanical function, system integration, or torque-output design. It lacks every critical factor required for torque generation: no resistance neutralization, no shaft transfer design, and no load-matching mechanics.

### Legal Incompatibility

What Aldendeshe offers is, at best, an archival summary of classical flywheel behavior repackaged in modern technical language. He does not redefine the flywheel, does not depart from historical usage, and contributes no deviation that would qualify as innovation. In legal and technical terms, he is over a century too late.

The examiner has compounded this issue by attempting to apply Aldendeshe's flywheel apparatus against a process-based invention. That is a categorical error. The cited reference discloses a mechanically dubious, magnetically driven device that purports to sustain perpetual motion—an impossibility already barred by federal patent law. Worse, it bears no structural, functional, or legal resemblance to the Trident Independent Energy Systems (TIES) process.

Aldendeshe discloses an inertial flywheel-based magneto-electric system. The present invention discloses a deliberate process: torque generation using a weighted, rotating arm engineered to neutralize resistance, deliver foot-pounds of torque (FPT), and drive shaft-

based machinery. These two systems are not similar and not interchangeable under patent law.

## XLVII. PROCESS VS. APPARATUS

### Legal Distinction and Functional Separation

#### Engineering Basis of TIES

The Aldendeshe patent claims a component-based device. The TIES invention claims a process—a system of operations with mechanical continuity. Aldendeshe's apparatus relies on magnets, coils, and brushes in a self-sustaining feedback loop based on speculative physics. The TIES process is rooted in mechanical reality and uses no magnets, coils, or brushes. It delivers directional torque with replicable, material-based engineering.

## XLVIII. PRECEDENT AND STATUTORY SUPPORT

### Statutory Interpretation and Inventive Distinction

#### Legal Precedents

In *Anderson's-Black Rock, Inc. v. Pavement Salvage Co.*, 396 U.S. 57 (1969), the Supreme Court held that a combination of old elements does not make an invention patentable unless the combination yields a new function. The 'modified flywheel' used in the TIES process—though geometrically familiar—has been functionally redefined within the claimed system. It is not a classical flywheel, and its inclusion in the process creates new mechanical behavior: directional torque generation.

#### Lexicographic Authority of Applicant

In *Ex parte Wilhelm Heine*, 2013 Pat. App. LEXIS 10412, the Board of Patent Appeals reversed an examiner's rejection for failing to acknowledge the applicant's repeated distinctions between his invention and the prior art. The TIES application similarly draws repeated and deliberate distinctions: the term 'modified flywheel' appears over 120 times. This is not redundancy. It is definitional precision by a legal lexicographer with the right to define how their invention deviates from historical art.

The examiner's rejection ignores this specificity and instead treats the 'modified flywheel' as

functionally equivalent to a traditional flywheel. This constitutes legal error. The modified flywheel is not claimed as a component on its own. It is part of a larger process—one that generates torque as a system of coordinated operations. As such, it must be evaluated under the rules governing process claims, not component claims.

Per 35 U.S.C. § 101 and § 112, the prior art must enable a person of ordinary skill in the art to reproduce the claimed invention without undue experimentation. As ruled in *In re Wands*, 858 F.2d 731 (Fed. Cir. 1988), if the prior art fails to disclose functional parameters, mechanical modifications, or enable replication, it cannot be valid prior art. Aldendeshe's disclosure does not provide enough technical clarity to replicate the modified flywheel or the torque-generating process of TIES.

Accordingly, the rejection lacks both factual and statutory basis. The applicant's repeated legal and mechanical distinctions must be given proper weight under U.S. law and Patent Office procedure.

## XLIX. SCIENTIFIC AND MECHANICAL IMPOSSIBILITY

### Fundamental Physics Contradiction

#### Perpetual Motion Violation

Aldendeshe's system claims continuous self-sustaining rotation after startup—effectively a perpetual motion machine. This violates the First and Second Laws of Thermodynamics, which govern all physical systems. The United States Patent and Trademark Office has long barred such claims for lack of scientific plausibility and enablement.

#### Missing Torque Pathways

Perpetual motion systems are explicitly prohibited under MPEP § 608.03, which requires applicants to provide working models or conclusive scientific evidence for any claimed perpetual motion. Aldendeshe's disclosure provides neither. There are no torque measurements, no shaft load specifications, no material stress data, and no energy balancing calculations. The system is theoretical at best, with no verifiable means of producing or transferring directional torque into a mechanical output.

The structural description also fails to disclose how the device transmits usable force. The so-called flywheel is not mechanically coupled to any load-bearing shaft or torque arm. There is



no mention of Lovejoy couplings, load-matching calculations, or any mechanical transmission interface capable of turning a real-world machine. This makes the system functionally inert—an inertial storage mass with no path to output.

The Trident Independent Energy System, by contrast, was engineered from the ground up to generate and deliver measurable torque. Its design is grounded in classical mechanics and material science. It includes specific pathways for neutralizing resistance, scaling foot-pounds of torque, and rotating shaft-driven systems. Aldendeshe's proposal offers no such practical output.

The Supreme Court's ruling in *Gottschalk v. Benson* emphasizes that process claims must include definable, transformative operations to qualify as patentable subject matter.

Aldendeshe's device does not describe a sequence of mechanical operations, resistance stages, or torque propagation events. It is a passive structure. Therefore, it fails both under 35 U.S.C. § 101 (subject matter eligibility) and § 112 (enablement).

In *re Wands* confirms that enablement requires disclosure sufficient for a person of ordinary skill to reproduce the invention without undue experimentation. Aldendeshe's proposal would require reverse engineering a speculative, magnetically sustained loop with no disclosed torque pathway. This is not enablement—it is theoretical extrapolation.

Allowing Aldendeshe's system to stand as prior art would endorse the patentability of physically impossible systems. That is not just a legal error—it is a scientific one.

### Rejection Summary

The Aldendeshe disclosure fails to introduce any new mechanical function, torque-delivery pathway, or definable system integration. It lacks critical elements such as directional torque, shaft engagement, resistance neutralization, and usable output. The invention exists entirely within the framework of passive energy storage and speculative feedback mechanics.

### Request for Withdrawal

In contrast, the Trident Independent Energy System is a process—one deliberately engineered to generate torque, not store inertia. The repeated use of the term 'modified flywheel' is not a stylistic flourish. It is a legal and mechanical declaration: the component behaves in an entirely different category from traditional flywheels. It is not an energy buffer; it is a torque initiator.

Where others relied on passive systems, the applicant redefined mechanical continuity.

Where others recycled outdated theory, the applicant built a forward-driving process. The modified flywheel is not an extension of flywheel art. It is a functional and legal departure from it.

Accordingly, Aldendeshe's reference does not anticipate or render obvious any portion of the claimed process. Its structure cannot serve as a valid comparison, and its assertions are scientifically unprovable. The examiner's rejection conflates incompatible categories and must be withdrawn in accordance with U.S. patent law and precedent.

#### L. CAMM REBUTTAL (US 2004/0056546)

#### LI. INTRODUCTION

The examiner issued a rejection citing: "Camm discloses a powered flywheel rotor motor." This statement is demonstrably incorrect. Camm discloses a hydraulic accumulator—a fluid-based pressure storage system—not a regenerative, torque-producing mechanical process as described in the present application, Trident Independent Energy Systems (TIES). The comparison constitutes a categorical error in legal classification, an engineering mischaracterization, and a procedural breakdown in examination standards. This document provides comprehensive rebuttal grounds under 35 U.S.C. §§ 101, 103, and 112, supported by regulatory authority (37 CFR § 1.104), MPEP guidance (§ 2127), and controlling case law.

#### LII. CATEGORY ERROR UNDER 35 U.S.C. § 101 – PROCESS MISCLASSIFICATION

Camm is not a process. It discloses a static fluid accumulator that stores energy in pressurized form. The present application is a process under 35 U.S.C. § 101, involving continuous torque generation via rotational leverage. Supreme Court rulings in *Gottschalk v. Benson*, 409 U.S. 63 (1972), and *Diamond v. Diehr*, 450 U.S. 175 (1981), define a process as a sequence of transformative steps. Nowhere in Camm is there a regenerative cycle, dynamic rotation, or step-by-step methodology for producing torque. The Examiner's citation is therefore invalid under § 101.

#### LIII. FAILURE UNDER 35 U.S.C. § 103 – NO TEACHING, SUGGESTION, OR MOTIVATION

Camm lacks any disclosure of continuous mechanical output, shaft-driven torque application, or rotational dynamics. The Examiner has failed to provide any rationale, motivation, or modification path by which a person of ordinary skill in the art could derive the TIES process from Camm. As established in *KSR Int'l Co. v. Teleflex Inc.*, 550 U.S. 398 (2007), § 103 rejections must be supported by a clear teaching, suggestion, or motivation to combine prior art elements. No such reasoning is present. The comparison is structurally and conceptually unsupported.

#### LIV. FAILURE UNDER 35 U.S.C. § 112 – INADEQUATE DISCLOSURE AND ENABLEMENT

Camm fails to enable any flywheel system, powered rotor, or regenerative motion mechanism. It provides no RPM data, no torque output specifications, no load coupling disclosures, and no material engineering tolerances. In contrast, the TIES process is supported by metallurgical consultation (Paragraphs [0018], [0026]) and dynamic torque generation designs. As clarified in *In re Wands*, 858 F.2d 731 (Fed. Cir. 1988), enablement requires sufficient disclosure to allow replication without undue experimentation. Camm's passive, sealed system cannot be interpreted as enabling Trident's dynamic torque process.

#### LV. PRECEDENT: EX PARTE WILHELM HEINE AND EXAMINATION FAILURE

In *Ex parte Wilhelm Heine*, Appeal No. 1997-2164, the PTAB rejected the Examiner's position where it was inconsistent with the applicant's actual disclosure. The present rejection mirrors that mistake. The specification for Trident repeatedly declares the invention to be a process. The Examiner's reliance on Camm—a passive storage device—constitutes both a legal and procedural failure under 37 CFR § 1.104(c)(2) and MPEP § 2127, which require examiners to cite only relevant and enabling prior art. Camm does neither.

## LVI. EXPANDED INTERPRETATION OF SPECIFICATION PARAGRAPHS: INDUSTRIAL APPLICABILITY AND ENABLEMENT

In addition to their role in statutory classification, the cited specification paragraphs (e.g., [0042], [0056], [0059], [0047], etc.) serve another critical function: they demonstrate the enablement of the process across industrial domains. From renewable energy integration to shaft-driven manufacturing platforms, the structure of the Trident process offers repeatable implementation without undue experimentation, fully satisfying 35 U.S.C. § 112(a). These excerpts do more than define torque—they forecast deployment, modularity, and adaptability. The language used is deliberate, statutory, and industrially sound. This clarification ensures that reviewers recognize not only the process logic but its ready applicability in real-world systems requiring continuous torque generation.

### Mechanical Process Comparison and Load Logic

The Camm reference discloses a hydraulic pressure vessel that stores pressurized fluid; it is a sealed containment system with no operable mechanical process to generate sustained torque or energy. In contrast, the Trident system defines a dynamic mechanical process that leverages centrifugal force and rotational leverage to produce continuous torque. As disclosed in Paragraphs [0042] and [0056], Trident incorporates a regenerative mechanical pathway for energy production. Camm, by comparison, provides no mechanism—either explicit or implied—for regenerative torque or energy output. Trident is specifically engineered to drive any shaft-based load and includes integrated coupling functionality (Paragraphs [0042], [0047]). This enables application versatility and downstream load engagement. The Camm system, however, contains no operative method, no disclosed sequence of energy transfer, and no applied logic loop that facilitates mechanical engagement with an external load.

Whereas Camm omits any torque-generating component, Trident includes a weighted, balanced rotating assembly designed to continuously apply foot-pounds of torque through centrifugal leverage (Paragraph [0056]). This assembly is a functional centerpiece of Trident's torque-generation loop and is absent entirely from Camm's structure. Furthermore, Camm contains no safety disclosures, rotational guidance, metallurgical analysis, or RPM performance criteria. Trident, by contrast, was developed in active consultation with a metallurgist and a fabrication team to ensure safe, stable, and secure

rotational function at high velocities (Paragraphs [0018], [0026]). These engineering precautions reflect an understanding of practical implementation—an understanding not demonstrated in the static, pressure-based architecture of the Camm device.

Camm’s system is sealed, fixed, and entirely static, with no modular or scalable properties.

Trident, in sharp contrast, is designed as a mobile, modular system capable of rapid deployment and integration into varied use cases. This fundamental difference makes Camm inapplicable as prior art, as it cannot be functionally or procedurally reconciled with Trident’s intended operation or its range of deployment.

Camm makes no mention of mechanical leverage, angular momentum, or centrifugal physics. These foundational principles are essential to the Trident system and are fully grounded in classical lever mechanics as applied in a continuous circular path to deliver torque (Paragraph [0042]). Trident does not function despite these principles—it functions because of them.

Finally, Camm contains no language that qualifies the system as a “process” under 35 U.S.C. § 101. It is merely a vessel with no operative logic. Trident, on the other hand, is repeatedly and explicitly defined as a process (not a part) and includes detailed procedural language affirming its compliance with the statutory definition of a utility-process invention. This is established in Paragraphs [0028], [0030], and [0056].

## LVIII. CONCLUSION – STATUTORY AND PROCEDURAL FAILURE

The rejection based on Camm constitutes a failure of statutory application, engineering interpretation, and procedural examination. Camm does not disclose a flywheel, a rotor motor, or a regenerative torque process. It fails under 35 U.S.C. § 101 (category error), § 103 (non-obvious), and § 112 (non-enabling). It violates MPEP § 2127 and falls under the precedent of *Ex parte Wilhelm Heine*. The Trident application defines a lawful, well-documented process with full support in both theory and practice. The Applicant requests that the rejection be withdrawn and prosecution proceed toward allowance.

## LIX. SUMMARY AND CONCLUSION

The Applicant affirms that the present application claims a process only, as defined under 35 U.S.C. § 101. No structural or mechanical components are claimed or required by any

limitation of the submitted claims. All references to generators, flywheels, torque wheels, or mechanical assemblies—including but not limited to the Wescott Torque Wheel—are exemplary and included solely for purposes of educational clarification. These examples were necessary to respond to the examiner's repeated citation of component-based prior art and to demonstrate why such references are incompatible with the claimed process. The Applicant has exercised the right of lexicography in defining the illustrative scope of exemplary components and hereby affirms that no claims to the Wescott Torque Wheel or any mechanical device are made in this application. Co-pending Application No. 18/766,445 (Wescott Torque Wheel) has been referenced under 37 C.F.R. § 1.57(g) as supporting educational material only and has now been incorporated by reference in its entirety.

#### LX. GLOBAL ENABLEMENT FAILURE OF CITED PRIOR ART UNDER 35 U.S.C. § 112(A)

The applicant hereby submits a unified legal and technical response to all prior art references cited by the examiner, demonstrating that each fails to meet the statutory requirement for enablement under 35 U.S.C. § 112(a). The references cited—Chaang, Wilson, Aldendeshe, and Camm—do not disclose any process, system, or structure that would allow a person of ordinary skill in the art to practice the claimed invention without undue experimentation. As held in *In re Wands*, 858 F.2d 731 (Fed. Cir. 1988), a reference fails the enablement requirement if it would require undue experimentation to reproduce the claimed invention. Furthermore, MPEP § 2164.01 confirms that enablement must be judged based on what is disclosed at the time of filing, and that general assertions or summaries without operational detail are insufficient. The Chaang reference does not disclose the presence of a shaft, load-bearing structure, or any quantifiable torque transfer mechanism. It is impossible to derive the claimed process from Chaang's system without substantial redesign, and it lacks the means to generate or maintain directional foot-pounds of torque against load resistance. Wilson, as cited by the examiner, introduces hazardous materials such as concrete and cast iron, and fails to include any detail on rotational speed, output torque, material strength, or mechanical safety — all of which are central to the applicant's process. The system is not mechanically reproducible without risking catastrophic failure and does not enable the

claimed invention in any way. Aldendeshe references a conceptual flywheel component without disclosure of shaft-loading or resistance neutralization. There are no torque measurements, no mechanical dimensions, and no suggestion of a scalable, torque-generating system that could replicate the applicant's claimed process. Camm focuses on electrical switching and load balancing, with no mechanical torque production system. It lacks any reference to directional torque output, foot-pounds of resistance, or a mechanical architecture capable of achieving what the applicant's process demonstrably does. Each cited reference fails to meet the legal standard for enablement under 35 U.S.C. § 112(a), and would require excessive reconstruction, reinterpretation, and assumption to reach the applicant's invention. These references, individually and collectively, fail to disclose any process or system capable of replicating or approximating the mechanical generation of torque as claimed by the applicant. Therefore, any future reliance on these references must be rejected as statutorily and procedurally improper.

## LXI. STATEMENT OF ACTIVE PROSECUTION

### Lawful Assertion of Procedural Rights

Pursuant to the applicant's rights under 37 C.F.R. § 1.111, this document serves as a formal and detailed prosecution of the Trident Independent Energy System patent application. The applicant is actively and lawfully exercising the right to challenge improper prior art citations, correct mischaracterizations of the invention, and demand statutory compliance in the examination process. This response is made in good faith, with full adherence to legal, mechanical, and procedural standards.

This document stands not only as a rebuttal but as a template for correcting categorical error in USPTO examinations across all fields.

### Process-Specific Language and Engineering Metrics

The specification repeatedly uses process-focused terminology to describe the invention's operational flow, including references to "sequential energy conversion," "rotational torque transmission," and "subsystem synchronization." These are not incidental terms—they are deliberate engineering descriptors used to define the invention in functional and procedural terms. This language reflects a process under 35 U.S.C. § 101, not a static apparatus or single device. Moreover, the claim set consistently frames the invention in terms of engineered

interactions between distinct mechanical and electrical events, reinforcing its classification as a process. The Examiner's disregard for this framing results in a fundamental mischaracterization of the invention's statutory nature.

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#### LXII. STATEMENT ON INTERVIEW DECLINATION AND RECORD PRESERVATION

The applicant declines any telephone or in-person interviews regarding this application. As this matter has now entered the stage of formal prosecution, all communications and arguments presented by the applicant are intended to be made part of the official written record. In accordance with MPEP § 713.01 and § 713.10, which confirm that interviews are optional and that all pertinent discussions must be placed into the written file, the applicant believes it is in the interest of fairness, transparency, and procedural accuracy that all future communications occur strictly through written correspondence.

This decision reflects the applicant's intent to preserve the clarity and legal integrity of the prosecution history. It is not a refusal to cooperate, but rather a deliberate and legally supported effort to ensure that the record remains undisputed, verifiable, and aligned with the procedural standards of the United States Patent and Trademark Office.

#### LXIII. REQUEST FOR SUPERVISORY REVIEW AND PRESERVATION OF RECORD

Given the extent of the examiner's misinterpretation of both the claims and the applicant's specification, and pursuant to the applicant's rights under 37 C.F.R. § 1.104(c), the applicant requests that this matter be reviewed by the Supervisory Patent Examiner (SPE) prior to any



further action. The record clearly reflects a fundamental misreading of the invention, including failure to recognize the nature of the claimed process, disregard of key language within the specification, and reliance on citations that do not teach or suggest the claimed elements.

Should further mischaracterizations persist, the applicant reserves the right to escalate this matter to the Office of Patent Quality Assurance (OPQA) in accordance with USPTO internal oversight protocols and further reserves all rights to petition the Director under 37 C.F.R. § 1.181 if necessary to ensure fair and accurate prosecution.

This filing has been prepared with full transparency and in strict accordance with the expectations set forth in the MPEP and applicable statutory provisions. The record now requires correction, and supervisory attention is formally requested.

#### LXIV. NOTICE OF PROCEDURAL AWARENESS REGARDING SUBSEQUENT OFFICE ACTIONS

The applicant acknowledges that the Office may, as a matter of procedure, elect to issue a subsequent Office Action following this response. While the applicant remains fully prepared to respond as needed, it is noted that such actions must adhere to the standards outlined in the Manual of Patent Examining Procedure (MPEP), including but not limited to Sections 707.07(d), 1207, and 2141.03, which require that rejections be clearly grounded in fact, supported by cited references, and not merely repeated without addressing arguments set forth in the prior response.

As emphasized in 37 C.F.R. § 1.104(c), any continued rejection must demonstrate that the examiner has duly considered the applicant's remarks and has based the rejection on a complete and fair interpretation of the claims in light of the full specification. This notice is provided as a affirmation of the applicant's awareness of procedural integrity requirements and the expectation that future actions taken in this matter will reflect the same.

#### LXV. EXAMINER FAILED TO ACKNOWLEDGE STATUTORY PROCESS INDICATORS THROUGHOUT THE RECORD

##### Process-Specific Language and Engineering Metrics

The specification repeatedly uses process-focused terminology to describe the invention's

operational flow, including references to “sequential energy conversion,” “rotational torque transmission,” and “subsystem synchronization.” These are not incidental terms—they are deliberate engineering descriptors used to define the invention in functional and procedural terms. This language reflects a process under 35 U.S.C. § 101, not a static apparatus or single device. Moreover, the claim set consistently frames the invention in terms of engineered interactions between distinct mechanical and electrical events, reinforcing its classification as a process. The Examiner’s disregard for this framing results in a fundamental mischaracterization of the invention’s statutory nature.

#### Failure to Recognize the Claimed Invention as a Process

The Examiner repeatedly fails to acknowledge that the claimed invention is not a device or apparatus but a process—an engineered sequence of energy conversion steps that work in tandem to produce a rotational energy output. This is not a component-based novelty but a system-wide operational one. The process of rotational torque transfer, energy staging, and system-driven continuity must be understood in terms of its process-oriented statutory classification under 35 U.S.C. § 101.

#### Erroneous Mechanical Reclassification Based on Prior Art Components

Rather than analyze the claims through the lens of the disclosed process, the Examiner defaults to mechanical reclassification, attempting to apply component-based prior art. This erroneous substitution overlooks the intended system operation and violates process-based interpretive standards. Nowhere does the Examiner account for the required interactions between subsystems, which are core to the claim set.

#### Neglect of Process-Based Statutory Language Cited Repeatedly in Specification

The specification uses deliberate process-based statutory language (e.g., ‘executing,’ ‘transferring energy,’ ‘sequential output’) across more than 20 distinct locations in the record. The Examiner’s rejection fails to address even one of these indicators, suggesting a review that either ignored or misunderstood the most fundamental statutory framing of the application.

#### Failure to Address Claim Dependency Structure and Engineering Flow

Claim dependency charts were deliberately constructed to show the operational flow and

expansion of capabilities through subsequent claims. The Examiner failed to interpret the claims in this dependent, process-expanding manner, instead flattening the analysis and stripping downstream claims of their intended procedural scope.

#### Misuse of Flywheel-Based Art Against Non-Flywheel Process Claims

The Examiner's use of mechanical flywheel prior art disregards the fact that the claimed invention is not a flywheel nor uses one in the sense of a stored-mass device. The application discloses staged momentum transfer using rigid-body rotation, not inertial storage or rebound, thereby rendering flywheel comparisons irrelevant and misleading.

#### Disregard for Clear Engineering Metrics Demonstrating Operational Novelty

Quantifiable engineering metrics—such as torque conversion ratios, rotational continuity, and resistance thresholds—are detailed in both the drawings and specification. The Examiner failed to mention or evaluate any of these values, undermining the technical integrity of the rejection and failing to rebut the empirical foundation of the system.

#### Neglect of Transparent System Design as Statutory Disclosure Mechanism

The prototype is deliberately engineered with full transparency in its electrical and mechanical design. This is not a cosmetic feature—it is a statutory disclosure mechanism enabling any reviewer to trace the system from energy input to output without speculation. The Examiner made no mention of this transparency, despite its relevance to statutory sufficiency.

#### Improper Aggregation of Structural Components Without Process Context

The rejection aggregates unrelated prior art components and implies they could be combined to mimic the invention. This ignores the required interactivity and continuous energy transfer of the system. Component aggregation cannot simulate process synergy, and the Examiner's logic collapses under scrutiny of claim continuity.

#### Failure to Apply MPEP Guidance for Process-Based Claims Interpretation

MPEP § 2111.03 clearly instructs Examiners to interpret process claims in the context of their steps and flow. The Examiner fails to do so and treats the invention as a static mechanism. This procedural violation results in a rejection that is incompatible with USPTO guidance.

## Oversimplification of Process Claims as Redundant or Obvious Without Process Mapping

The rejection narrative presents the invention as a collection of obvious mechanical parts. In doing so, it omits the staged functionality, procedural control, and intentional sequencing that form the core of the claimed process. Redundancy cannot be argued without addressing operational logic—which the Examiner avoids entirely.

## Legal Justification for Structuring Examiner Procedural Errors as a Separate Section

### 37 CFR § 1.111(b) – Form of Applicant’s Reply

This regulation outlines how an applicant should respond to an Office Action:

“The reply... must be reduced to a writing which distinctly and specifically points out the supposed errors in the examiner's action and must reply to every ground of objection and rejection in the prior Office Action.”

Interpretation:

- The regulation mandates that applicants address each ground of objection and rejection.
- It does not prescribe a specific format or structure for the reply.
- Therefore, organizing the response into separate sections, including one dedicated to procedural errors, is permissible.

### MPEP § 707.07(f) – Examiner's Duty to Address All Traversed Material

This section emphasizes the examiner's responsibility:

“Where the applicant traverses any rejection, the examiner should... take note of the applicant's argument and answer the substance of it.”

Interpretation:

- Examiners are required to respond substantively to all arguments presented by the applicant.
- This includes procedural issues raised separately from substantive claim rejections

## LXVI. STATUTORY COMPLIANCE AND STRUCTURAL CLARITY

The applicant submits this response in full compliance with all applicable statutes, regulations, and procedural rules governing patent prosecution before the United States Patent and Trademark Office. Every argument, citation, and structural clarification presented herein is directly supported by the language of the specification and reinforced by binding legal authority.

## LXVII. PROCESS CLASSIFICATION AND EXAMINER MISINTERPRETATION

The rejection as currently stated is both procedurally flawed and substantively unsupported. The claimed invention is a legally cognizable process under 35 U.S.C. § 101 and has been consistently and deliberately presented as such throughout the specification. In contrast, the examiner's interpretation reflects a misclassification that is incompatible with the written record and contradicts governing patent law.

## LXIII. RECORD-BASED EVIDENCE OF PROCESS CHARACTERIZATION

The word “process” appears eighty-four (84) times in the specification—deliberately and strategically—to prevent precisely this type of classification error.

- Mechanical output is expressed repeatedly in engineering terms, including foot-pounds of torque (FPT) and foot-pounds of resistance, along with the process's functional ability to neutralize resistance.
- No claims were directed to devices, assemblies, or passive systems. The invention is a torque-generating method, as legally protected under 35 U.S.C. § 101.
- Citations to controlling precedent, including Anderson's—Black Rock v. Pavement Salvage Co., were made in the specification to affirm the invention's statutory alignment with the Constitution's “useful arts” requirement.

## LXIX. PROCEDURAL BREAKDOWN AND REGULATORY VIOLATIONS

The examiner's repeated failure to acknowledge these markers, compounded by documented irregularities in procedural conduct (including missing paragraphs, duplicate identifiers, and inadequate engagement with prior rebuttals), undermines the integrity of the examination process and violates MPEP § 707.07(d), § 1207, § 713.01, § 713.10, and 37 C.F.R. § 1.104(c).

## LXX. CLOSING POSITION AND NEXT STEPS

This response was not written as an adversarial rebuke, but as a compulsory defense of procedural fidelity, factual accuracy, and statutory compliance. The integrity of this prosecution history must be preserved.

The applicant formally requests correction of the record in accordance with the arguments

presented and full reconsideration of the rejection. Should mischaracterizations or procedural deficiencies persist, the applicant will pursue all remedies afforded under law, including but not limited to:

- Supervisory Review under MPEP § 1002.02(c),
- Escalation to the Office of Patent Quality Assurance, and
- Petition to the Director under 37 C.F.R. § 1.181.

Let the record show: the invention is not speculative. It is demonstrable. It is not a collection of parts. It is a process. And it is entitled to protection under the law.

This response is submitted and in full compliance with C.F.R. §1.111(b), and each of the pending claims is supported by the original specification as filed, in full compliance with 35 U.S.C § 112 (a). Nothing in this response should be construed as limiting the scope of the pending claims beyond their plain meaning and understood by one of ordinary skill in the art. The Applicant's arguments, illustrations, and referenced examples are presented for clarification in educational purposes only and I'm not intended to narrow or redefine any claim limitation under the doctrine of prosecution disclaimer. The applicant affirmed that all terminology used in this document—including foot pounds of torque, resistance, and neutralization, and related mechanical terms—are used in accordance with their ordinary and customary meaning in the art, unless otherwise explicitly defined herein. No term shall be reinterpreted in isolation to contravene its usage within the full disclosure.

Submitted with full assertion of legal and procedural rights.

Richard Wescott

Inventor and Pro Se Applicant

Signature: \_\_\_\_\_

Date: \_\_\_\_\_